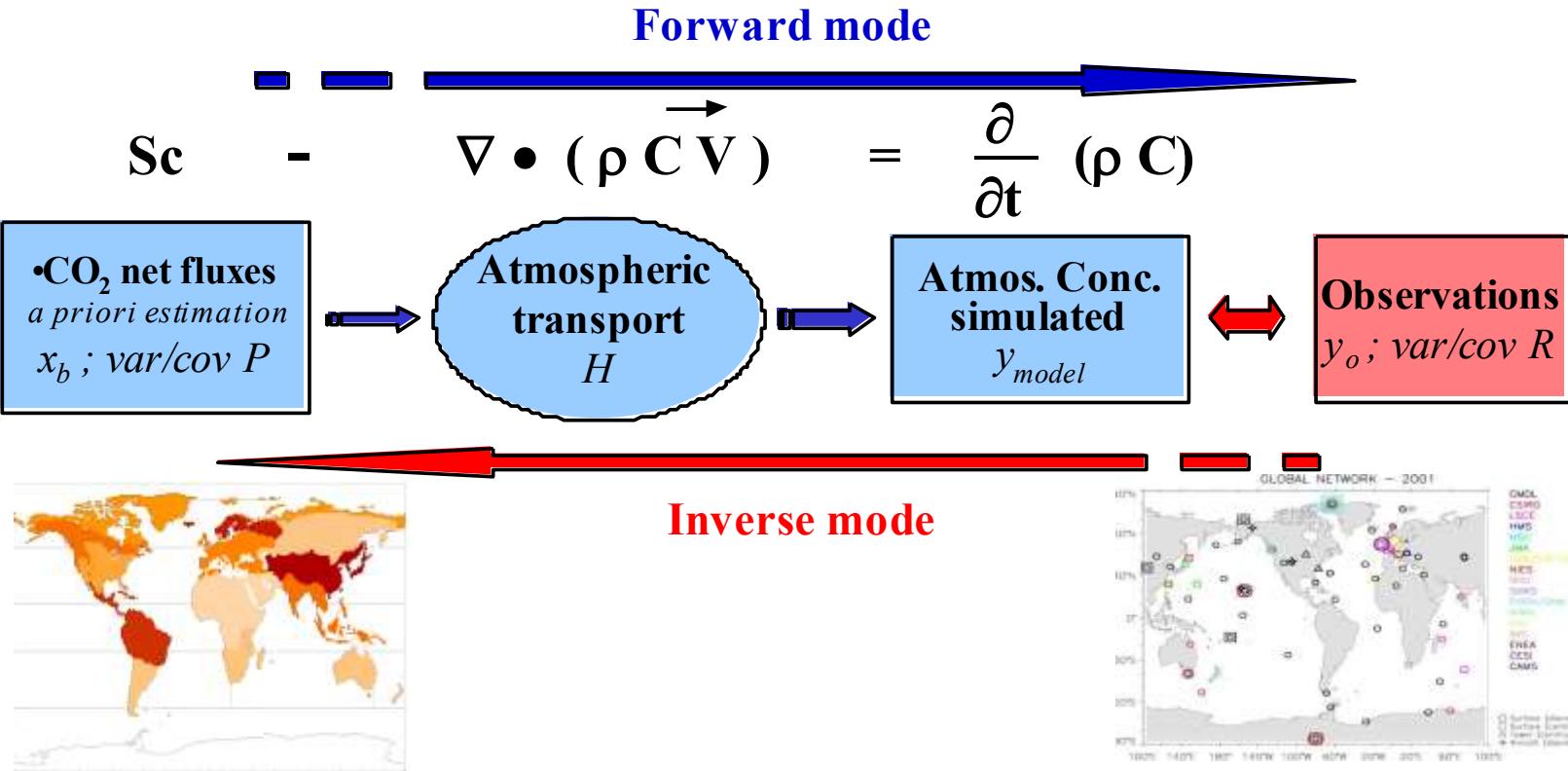


# Carbon Cycle Modelling and Measurements – Robust Flux Estimation

P.S. Swathi, CSIR-Fourth Paradigm Institute  
Recent Advances in CO<sub>2</sub> Capture Technologies  
and Sectoral Applications, Delhi, 1 Sep. 2018

- India's COP 21 commitments (by 2030)
- 33-35 % improvement in energy intensity from 2005 levels
- 2.5 – 3 GTCO<sub>2</sub> (0.6 – 0.8 GTC) additional forest sink
- Question: How do we quantify this?
- Bottom-up and Top-down approaches

# Atmospheric transport model

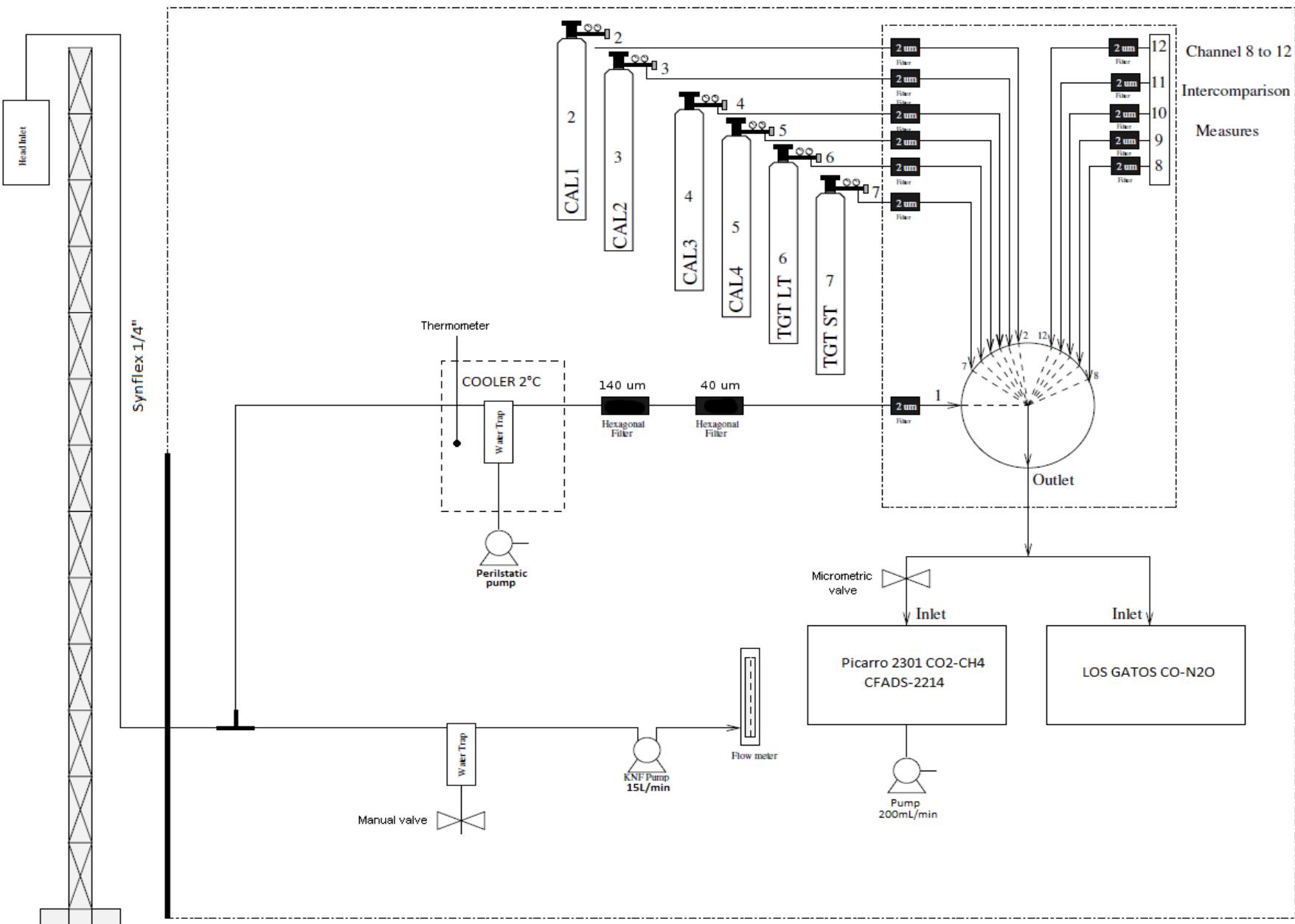


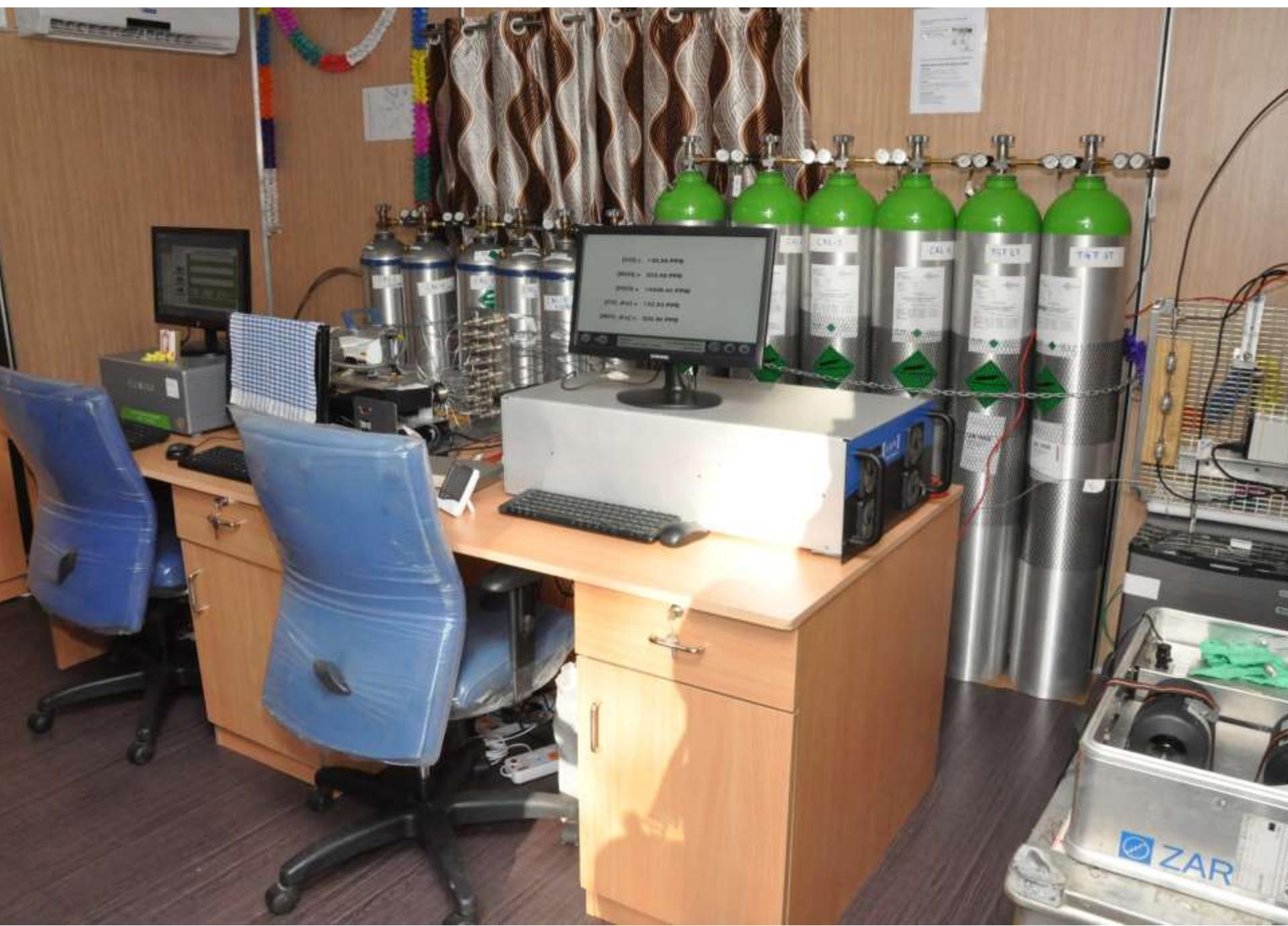
MOZART Transport model  
Bayesian Inversion

- Need very accurate measurements traceable to WMO-NOAA primary standards
- A good density of measurements
- A procedure to assimilate measurements into models to yield robust flux estimates

Reference Station set up in Hoskote near  
Bangalore

# Schematic diagram of the station





# Calibration with NOAA primary

- Calibration of Secondary (working) standards
  - NOAA cylinders are connected in the sequence and the calibration is carried out with three cycles of NOAA and secondary cylinders in succession
  - Each cylinder gas goes through the instruments Picarro and LGR for 20 minutes
  - Calibration curve is fitted and with the parameters  $a_0$  and  $a_1$  in the equation  $y=a_0 + a_1x$ , the values are corrected on the secondary cylinders
  - Using these corrected secondary cylinder values, further the measurements are corrected

# Compositions of NOAA and Secondary cylinders

- **NOAA cylinders:**

	CO2 ppm	CH4 ppm	CO ppb	N2O ppb
• TANK				
• CAL 1	341.95	1.6335	66.1	300.63
• CAL 2	74.15	1.7839	108.1	313.75
• CAL 3	396.95	1.939	152.4	328.12
• CAL 4	429.0	2.087	163.8	332.01
• CAL 5	464.0	2.3424	286.3	341.28
• CAL 6	503.18	2.6107	470.7	350.61

- **Secondary cylinders:**

	CO2 ppm	CH4 ppm	CO ppb	N2O ppb
• TANK				
• CAL 1	370.0	1.805	50	310.3
• CAL 2	400.1	1.899	100.2	330
• CAL 3	420.4	2.099	250	335.8
• CAL 4	480	2.400	500.4	351.6
• TGT ST	400.2	1.901	150	330.7
• TGT LG	460	2.301	500.3	342.2

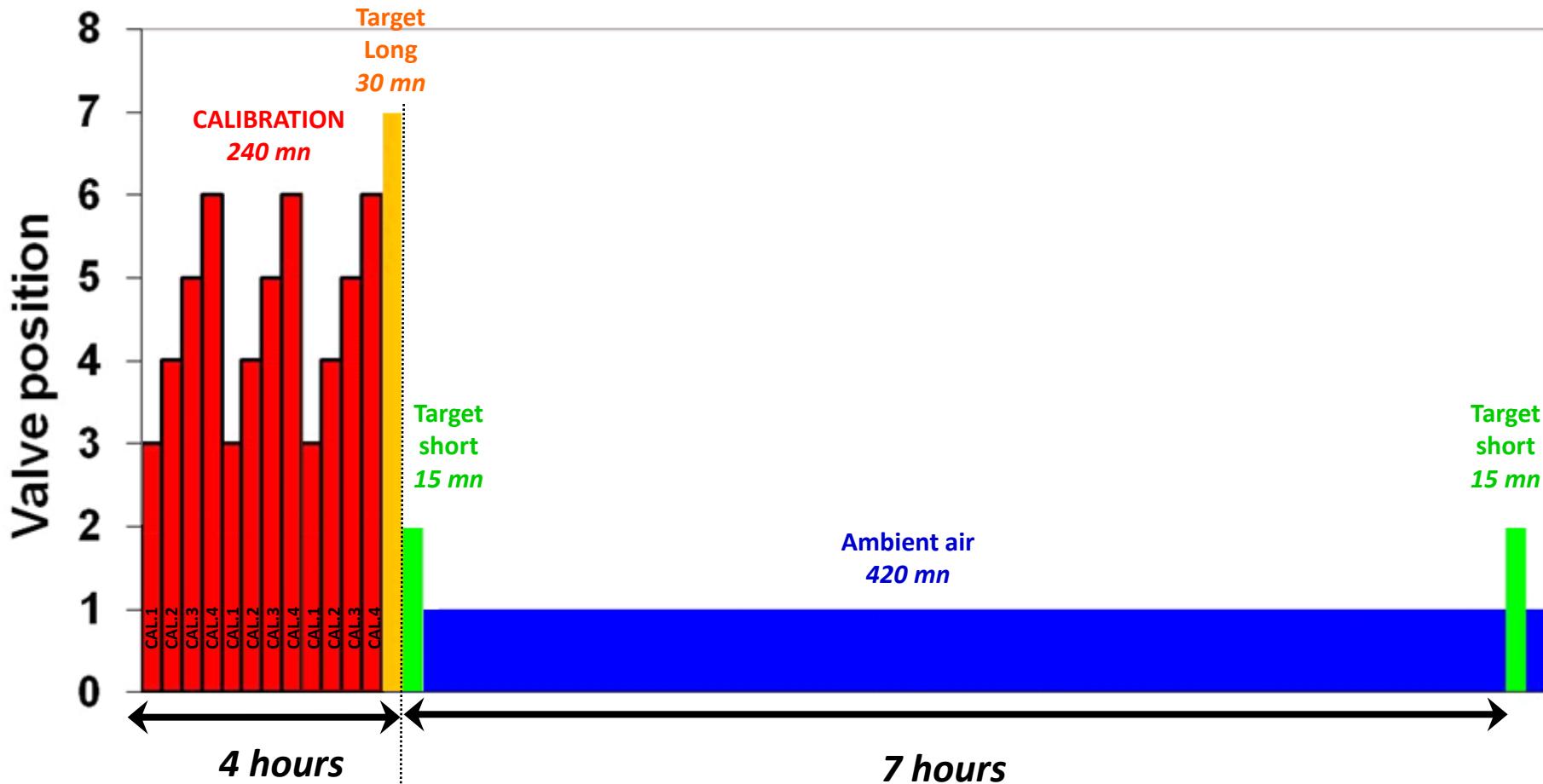
- CO2 Result: (Picarro)
- NOAA cylinders:
- $a_0 = -0.473292345073$      $a_1 = 0.994806213121$

NOAA values (ppm)	Calibration Means (ppm)
•	
• 341.95	$339.68855151 \pm 0.0157976113582$
• 374.15	$371.72446246 \pm 0.0130839226625$
• 396.95	$394.4407502 \pm 0.0145592964574$
• 429.0	$426.31756892 \pm 0.0172201473859$
• 464.0	$461.09896705 \pm 0.0174353596751$
• 503.18	$500.08126644 \pm 0.0201042624759$

11.91 °N -79.81 °E

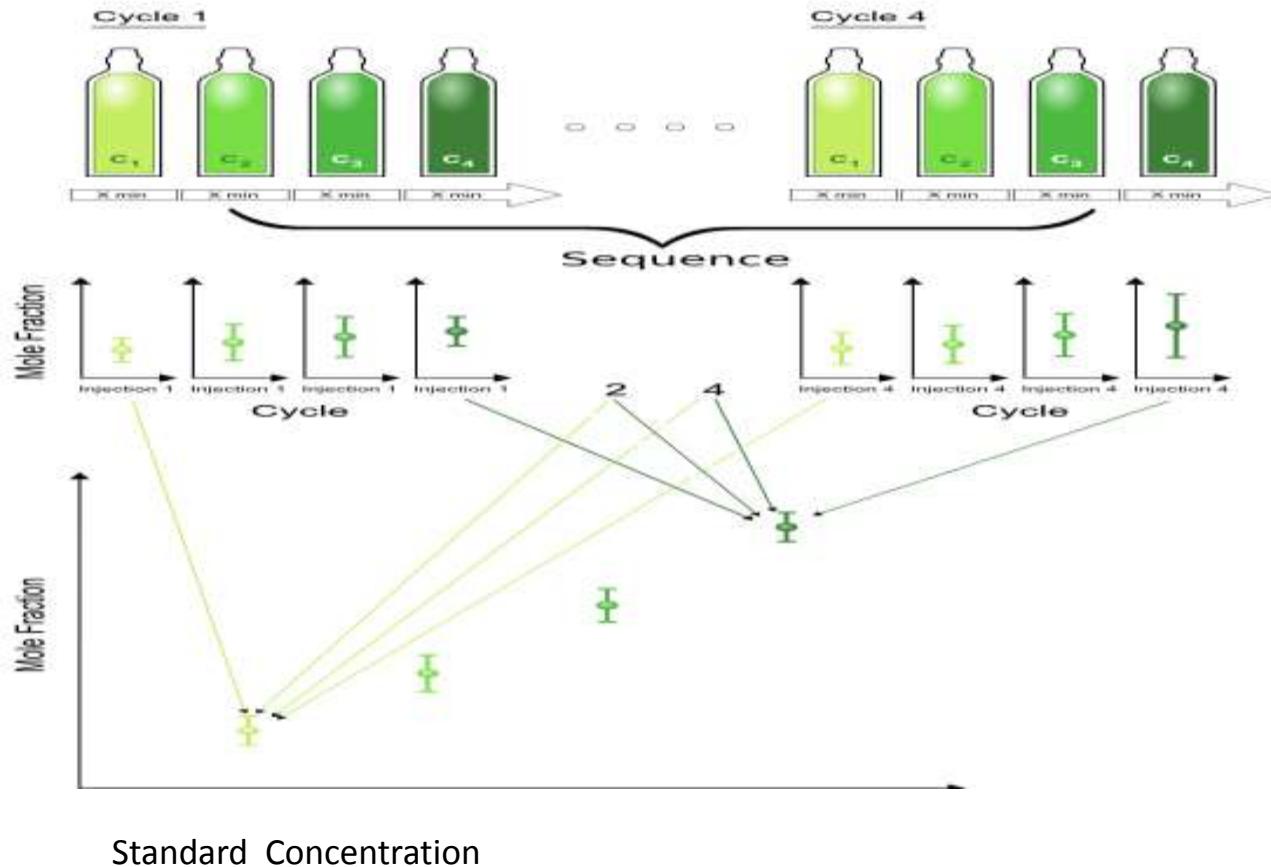


# PICARRO SEQUENCE - PONDICHERRY

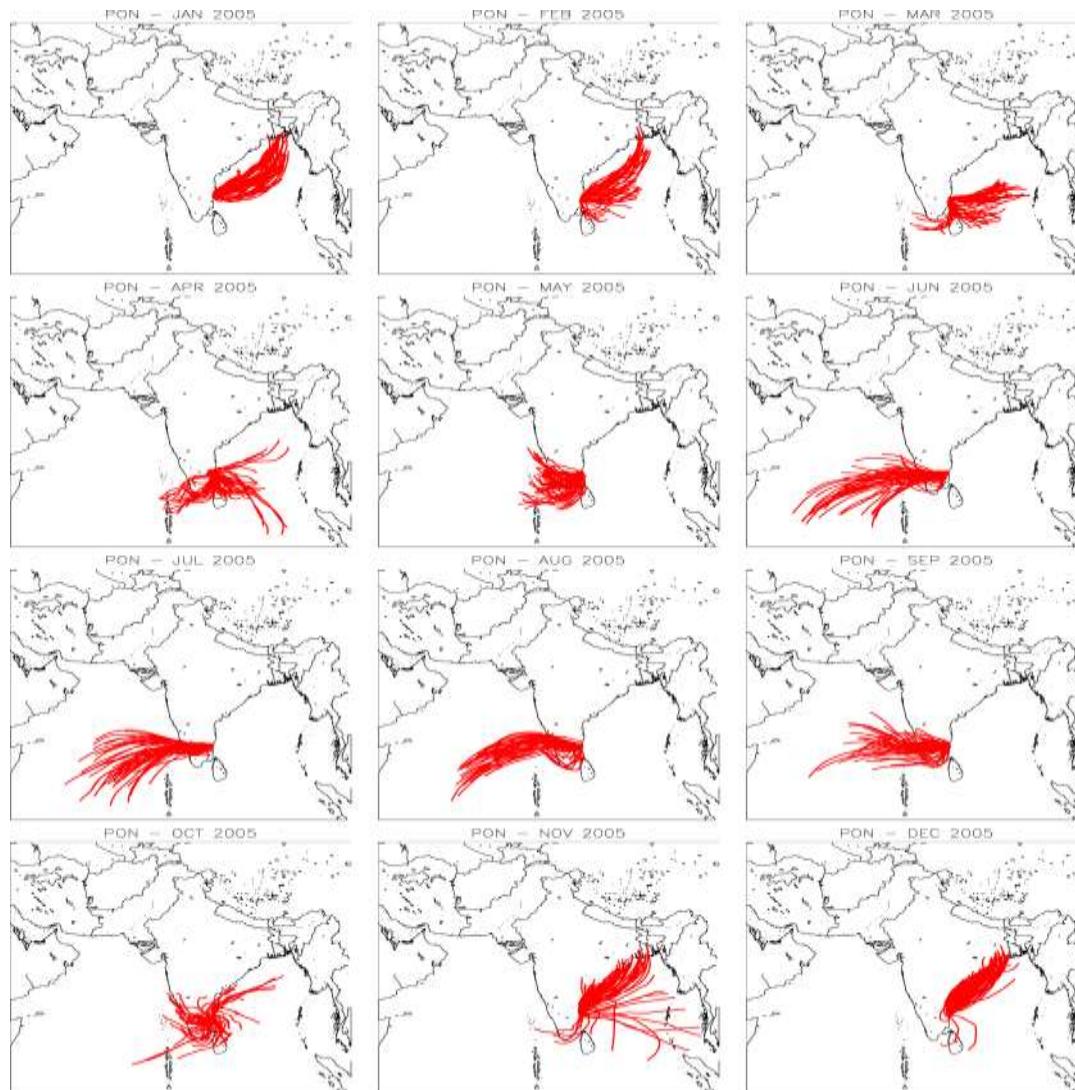


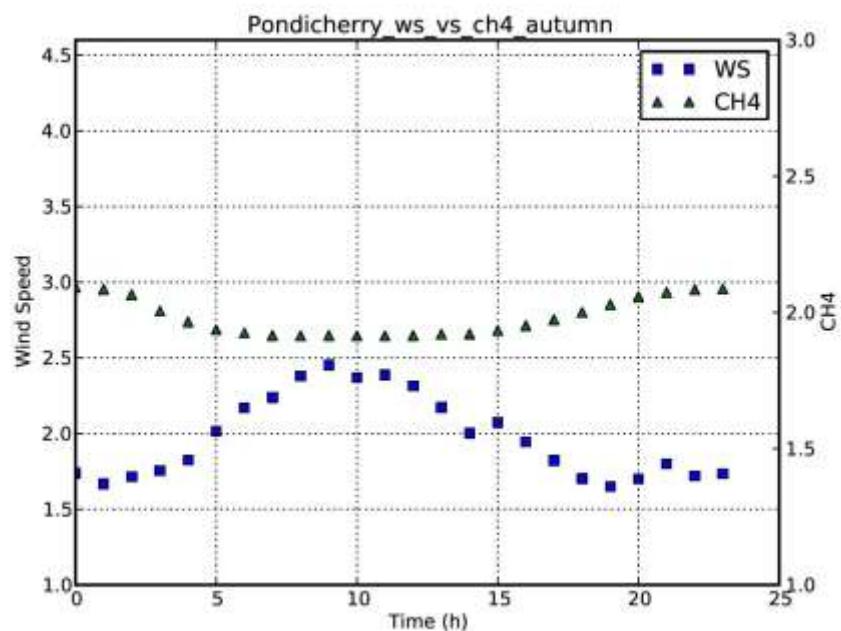
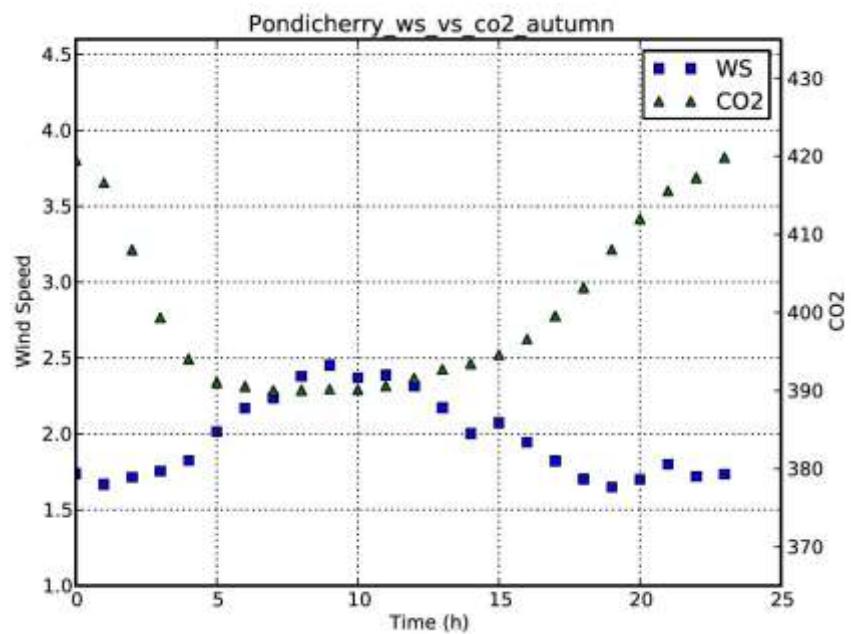
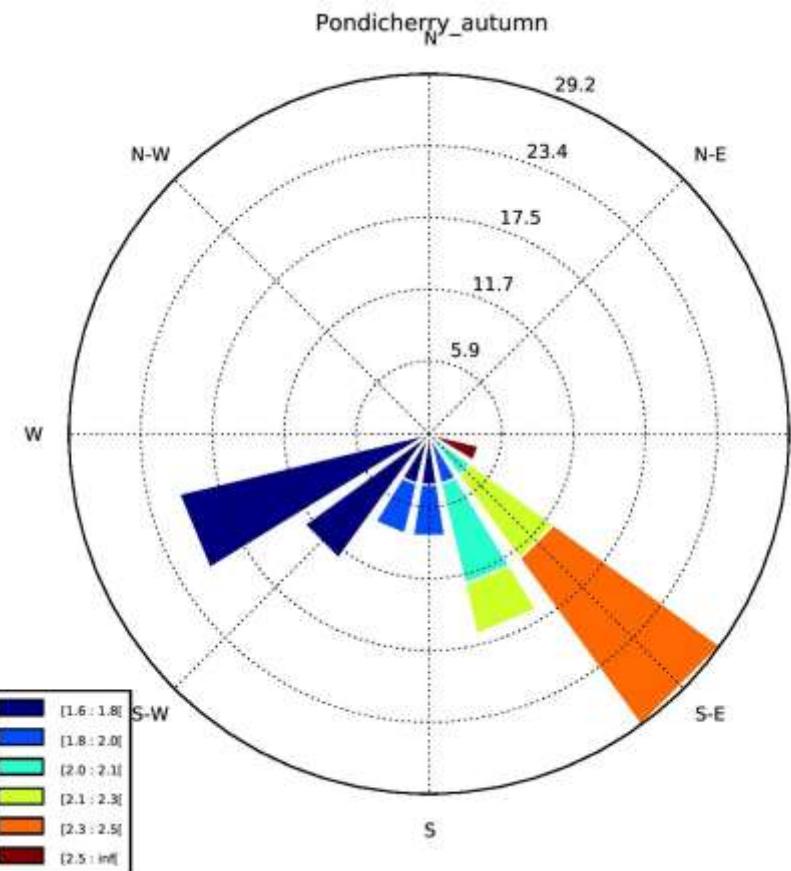
*CAL + TGT<sub>long</sub> sequence is  
repeated every 15 days*

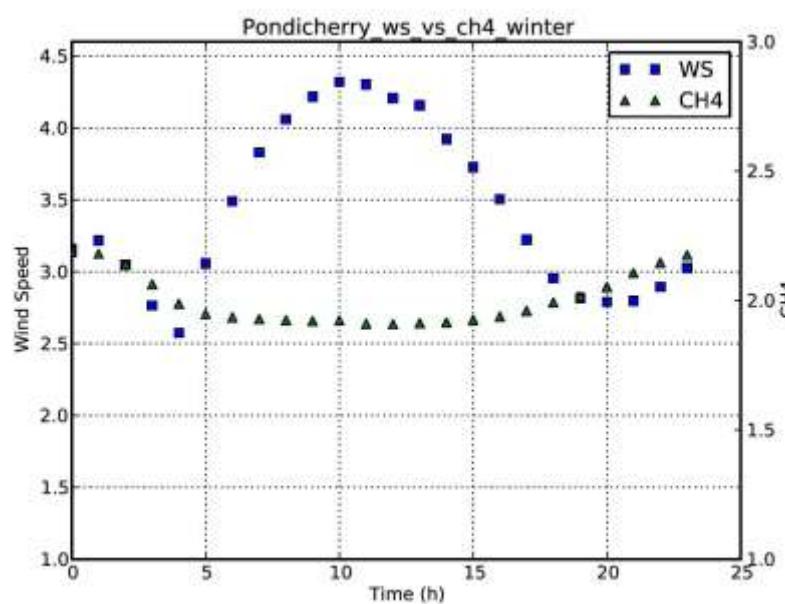
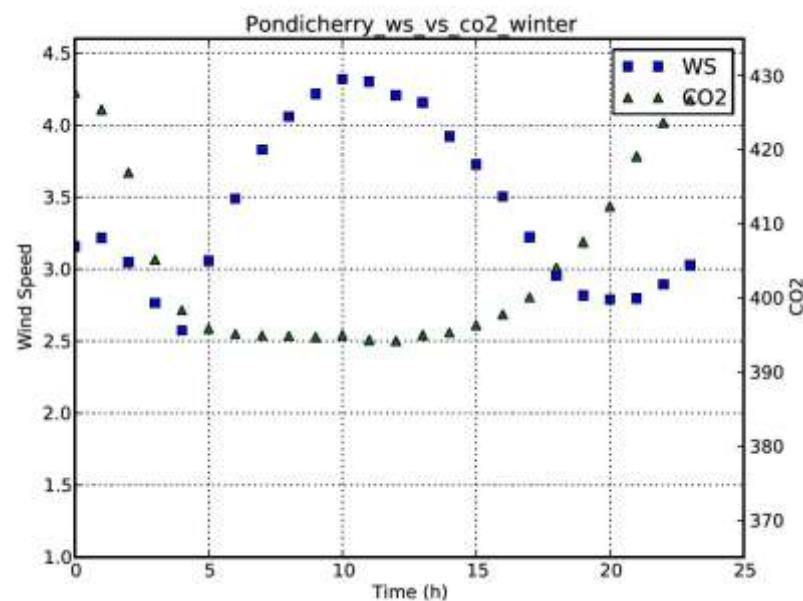
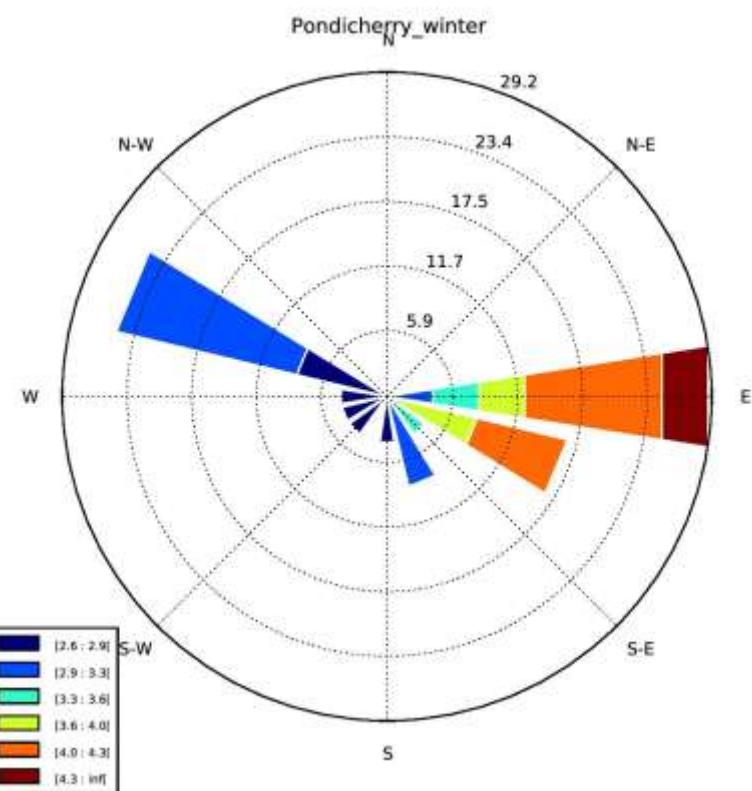
# Calibration computing

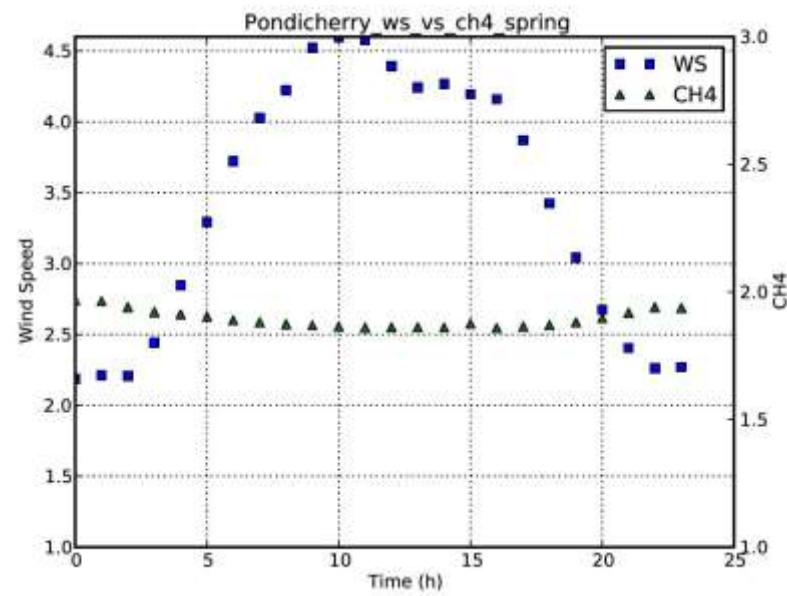
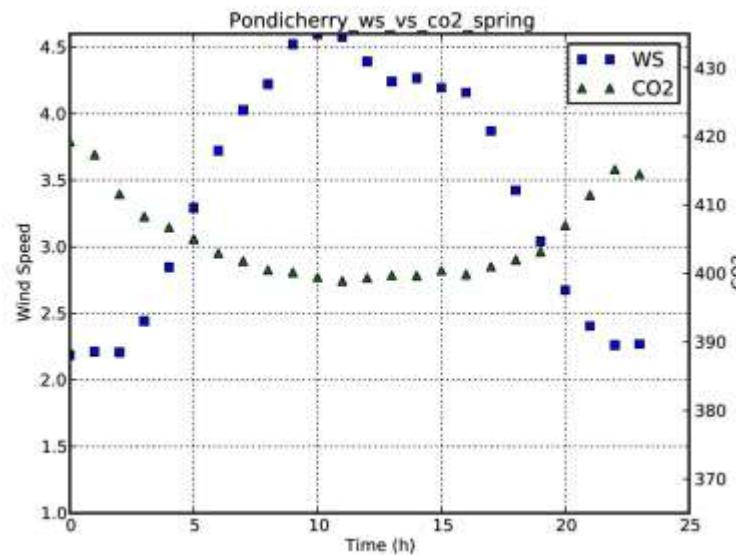
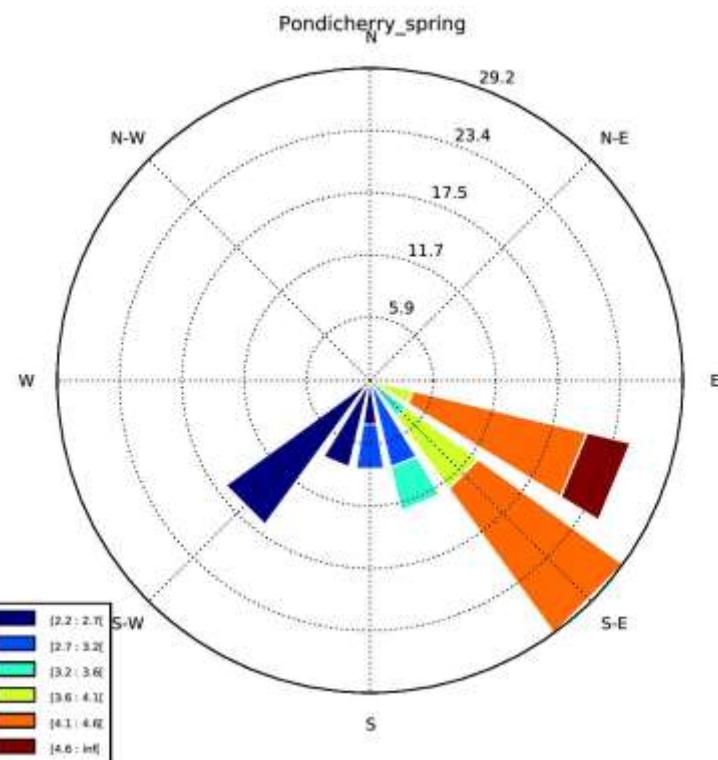


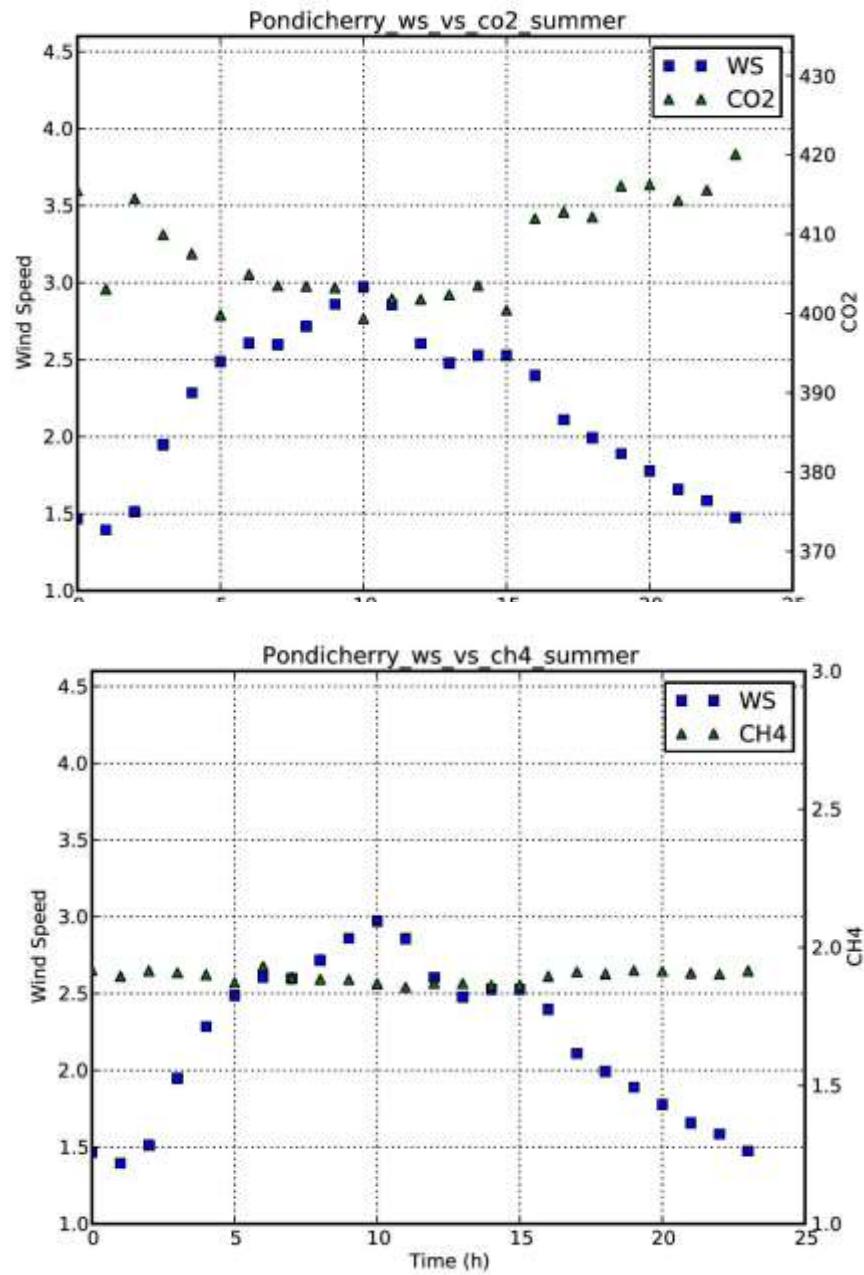
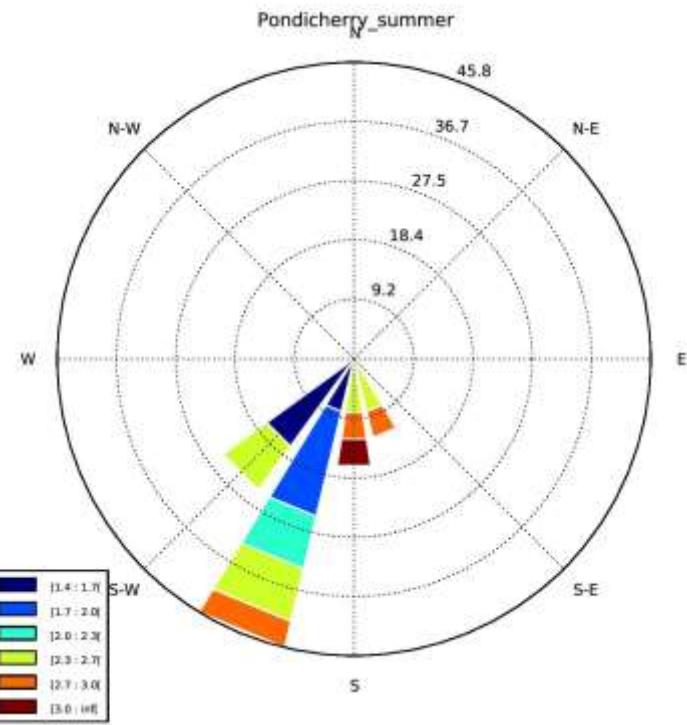
# Pondichery (PON)











## Flux Estimations - Transcom Setup

- 11 Land and 11 Ocean Regions
- Repeating 1996 NCEP winds
- Presubs: FF90 and FF95, NEP and Ocean
- Green's Functions: Monthly unit emissions tracked for 36 months.
- Transport code: MOZART T-42 resolution (128\*64\*28)
- Cyclostationary case with TDI inversion
- Station data 71
- Priors based on L3 case

NP

60

30

EQ

-30

-60

SP

180

120 W

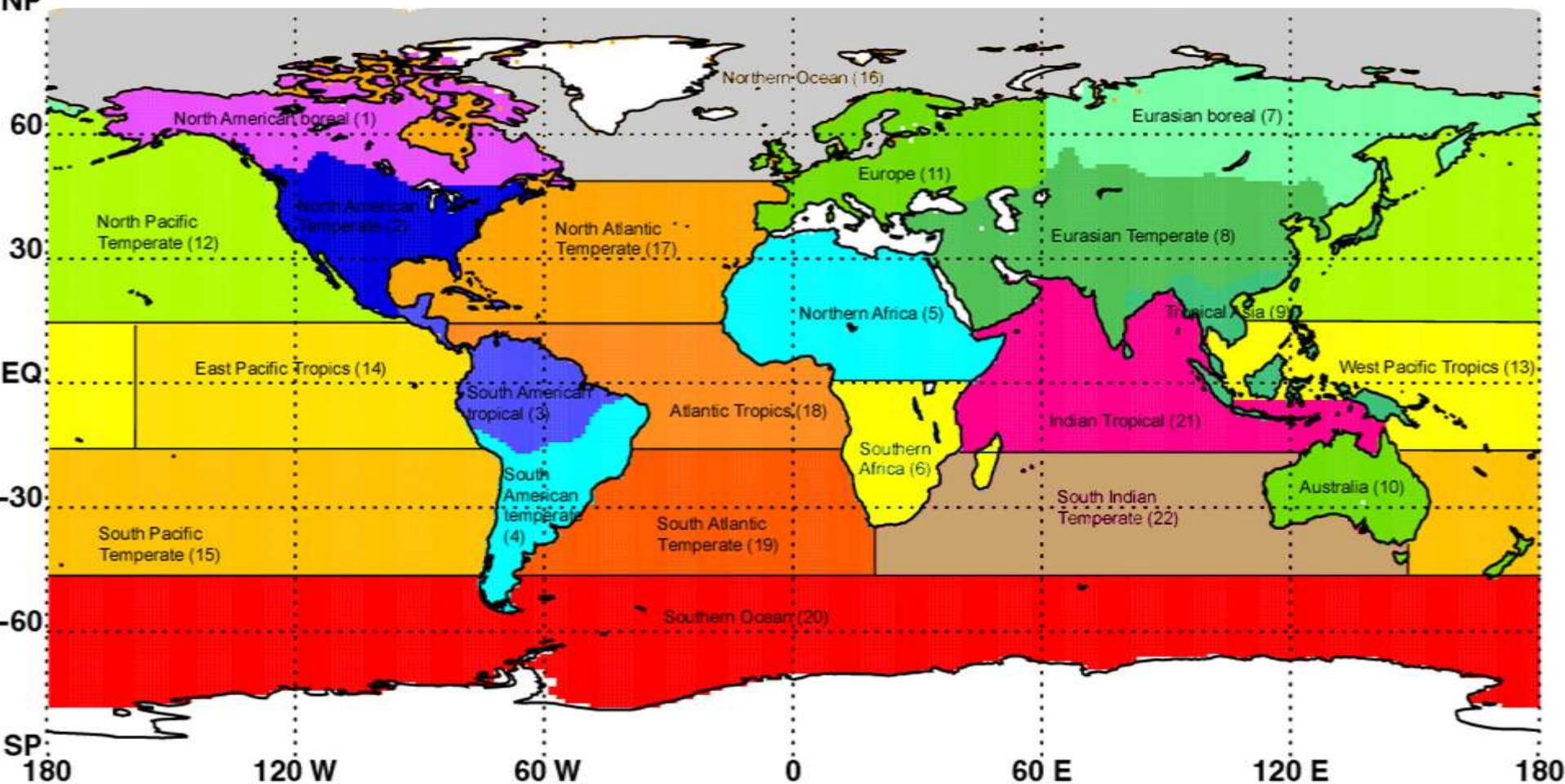
60 W

0

60 E

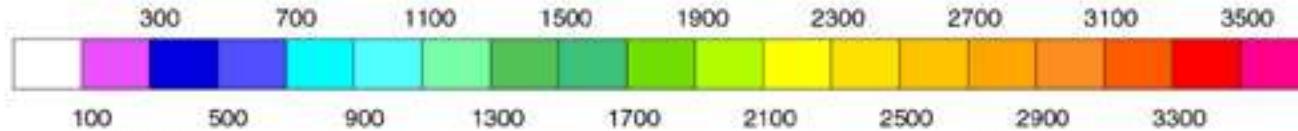
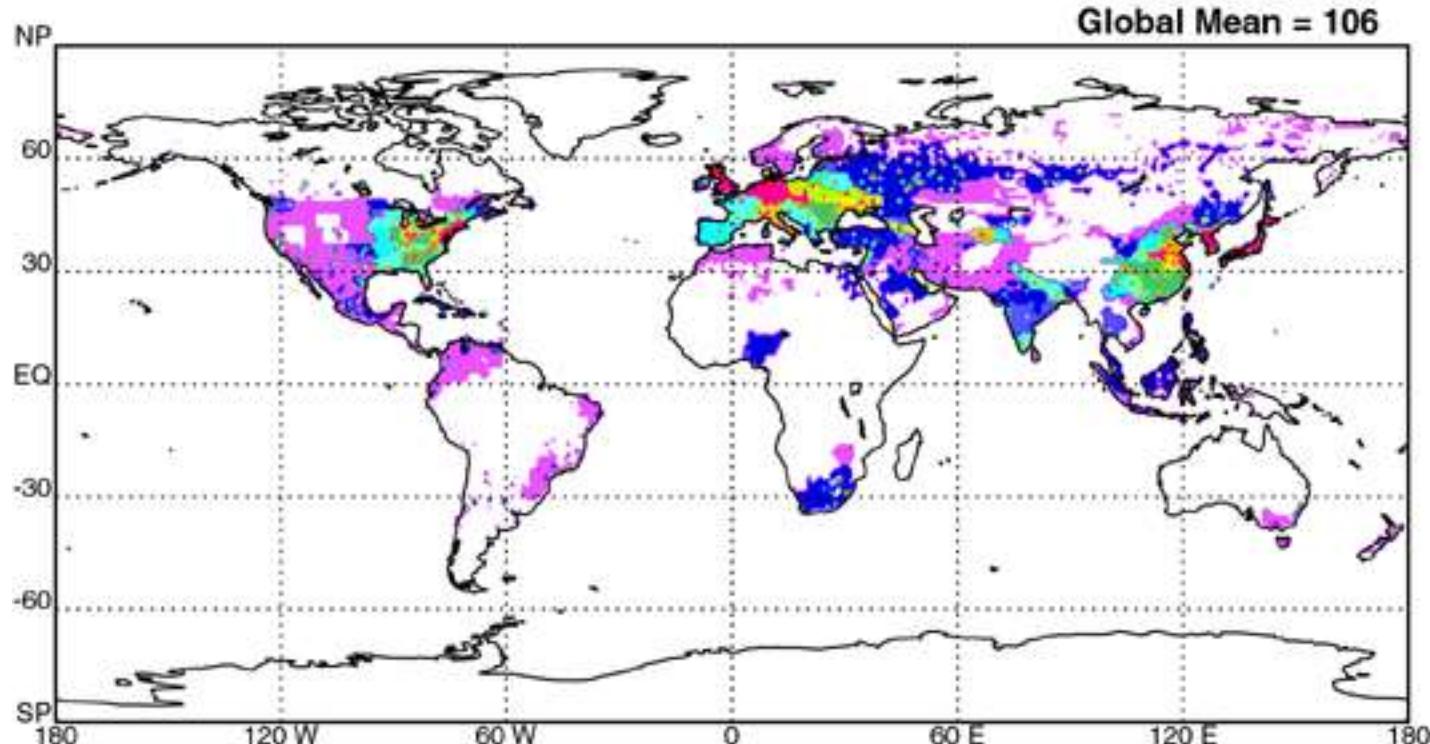
120 E

180



## 1990 carbon emissions

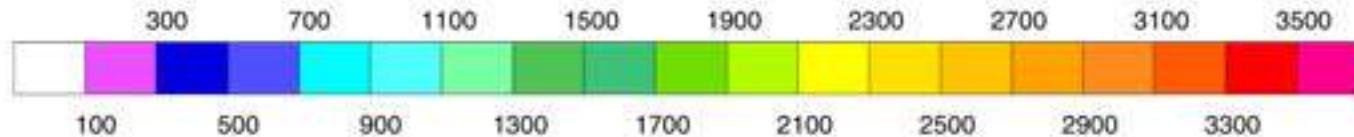
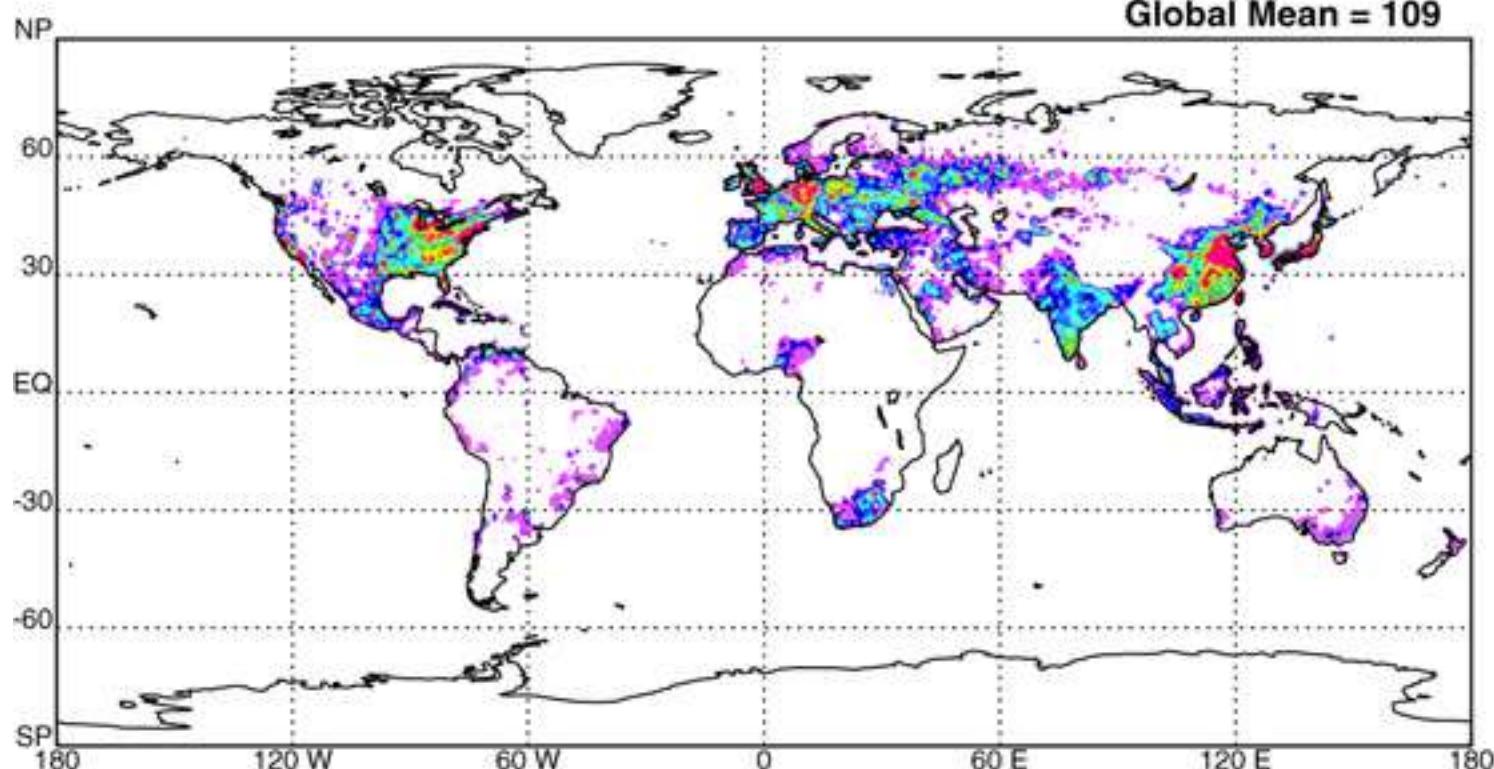
1000 tonnes C/grid cell



# 1995 carbon emissions

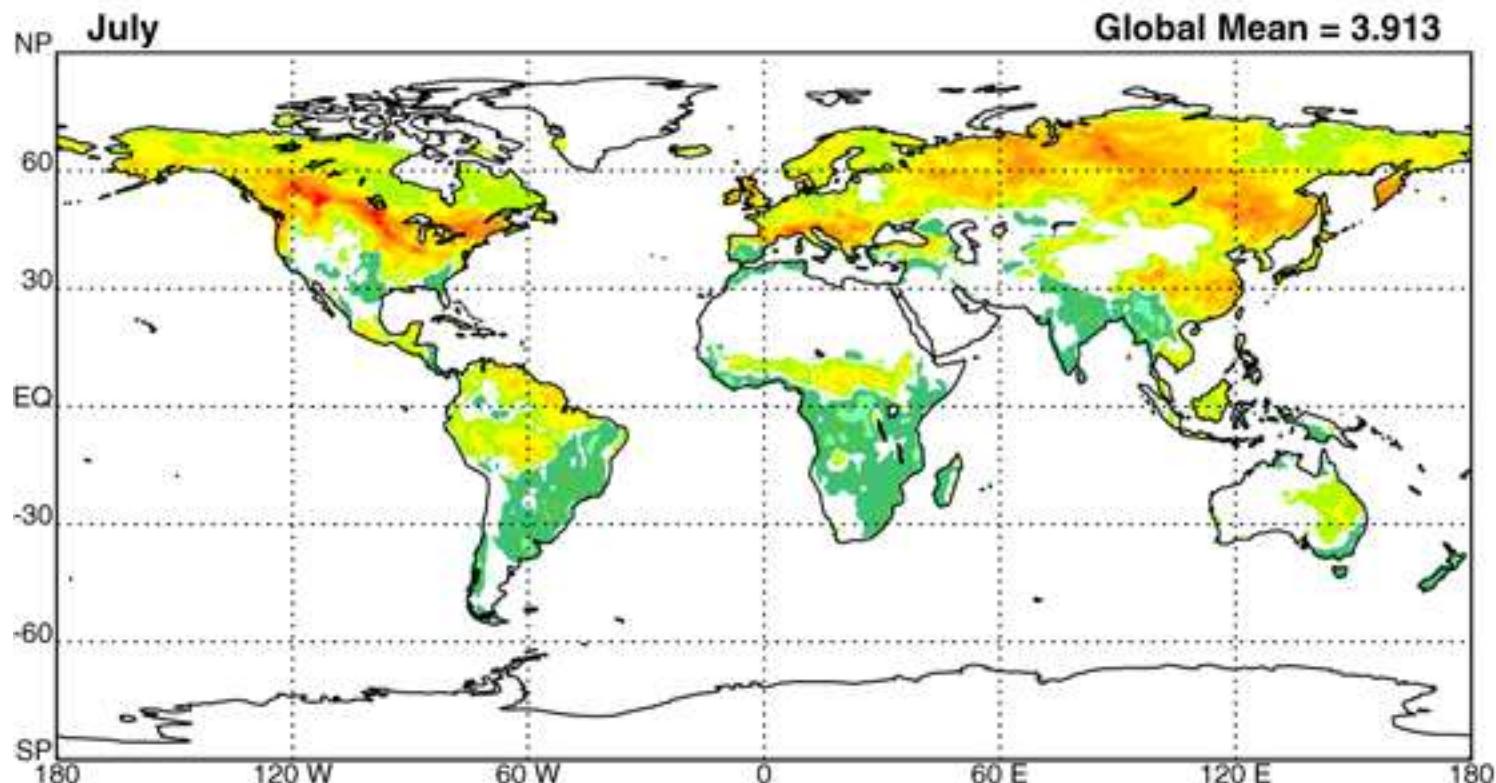
1000 tonnes C/grid cell

Global Mean = 109



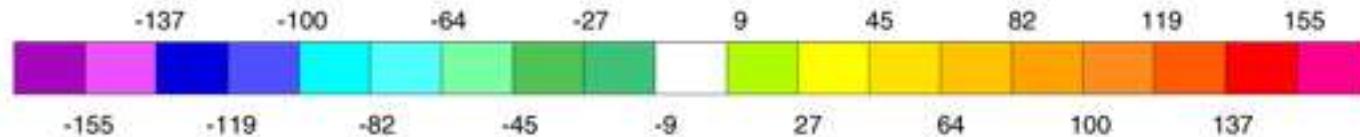
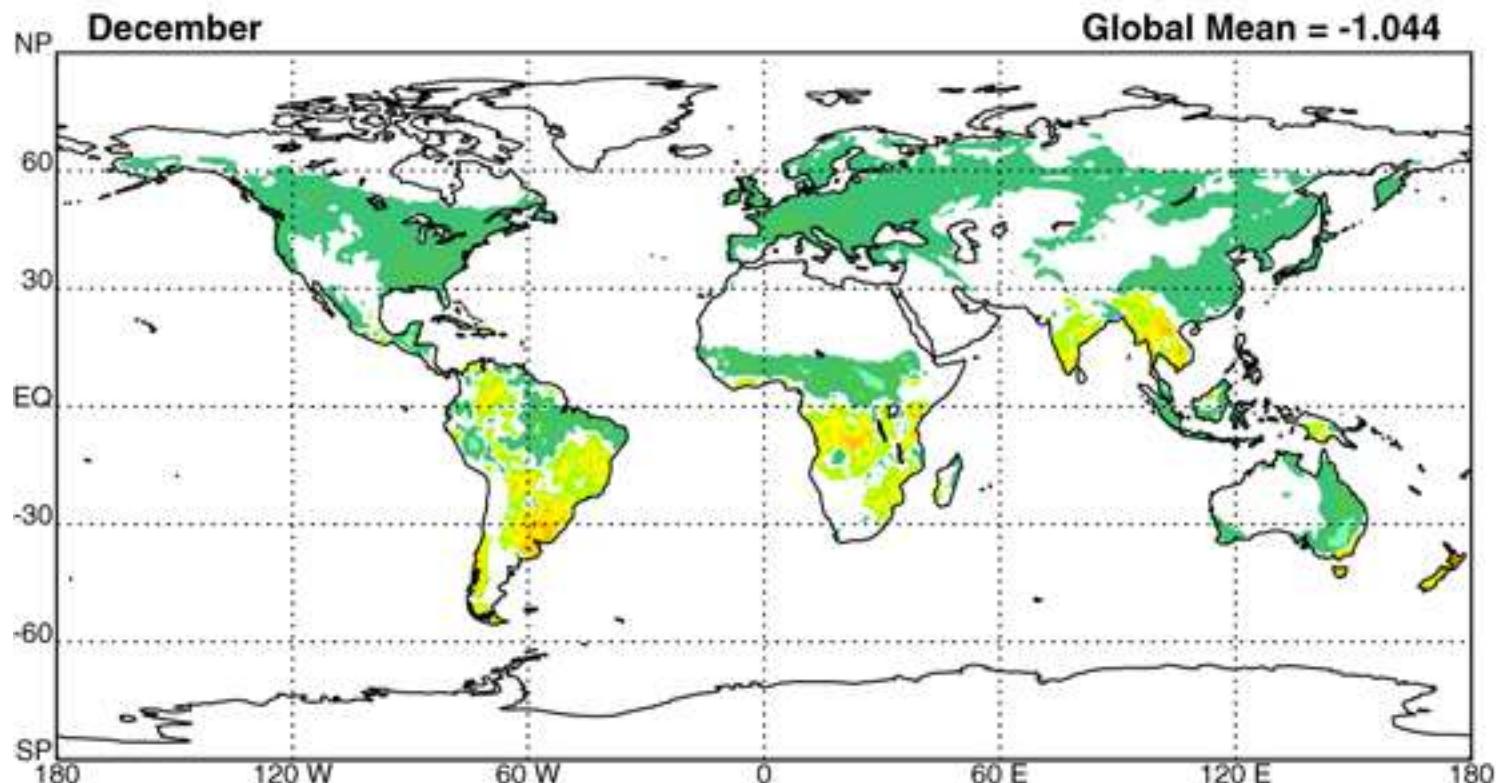
## CASA Net Ecosystem Production

g C/m<sup>2</sup>/month



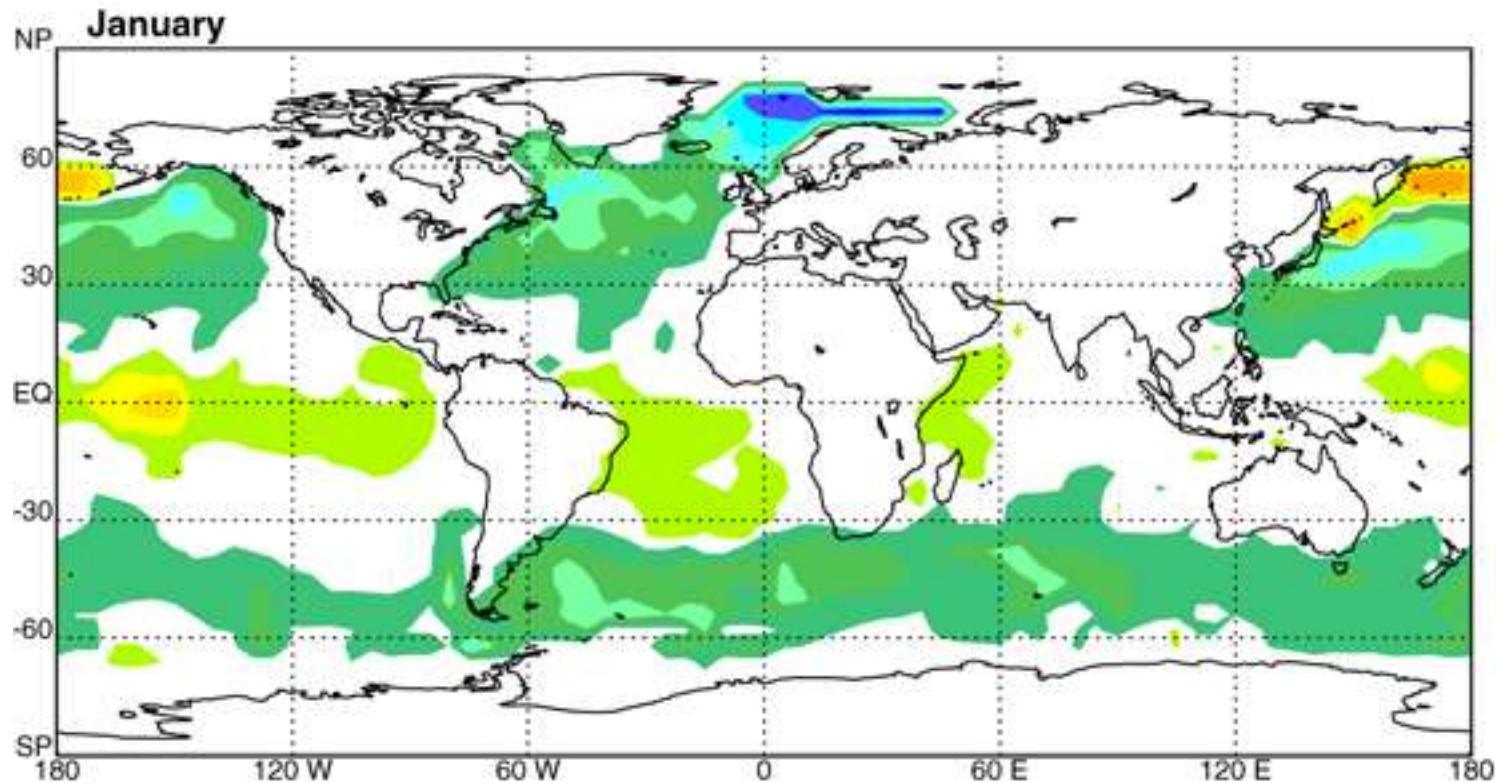
## CASA Net Ecosystem Production

g C/m<sup>2</sup>/month



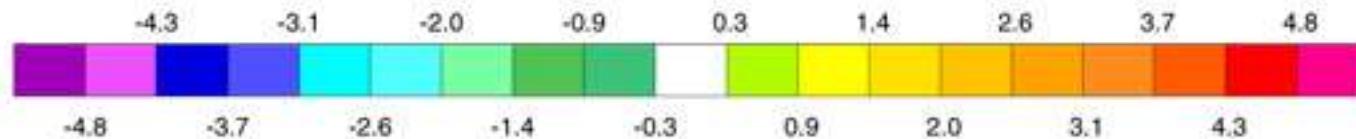
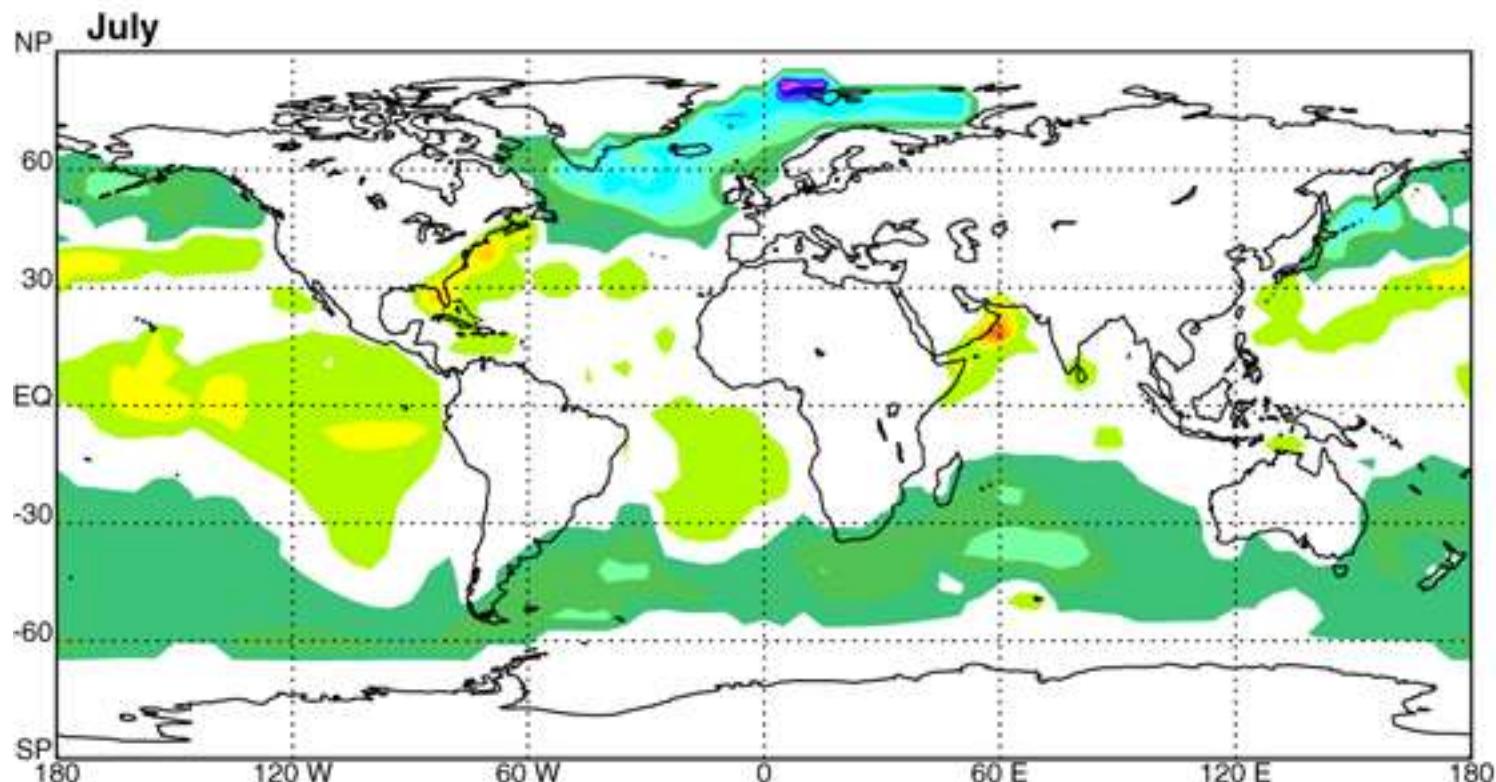
# Takahashi CO<sub>2</sub> flux

kg C/m<sup>2</sup>/second x 10<sup>{-9}</sup>



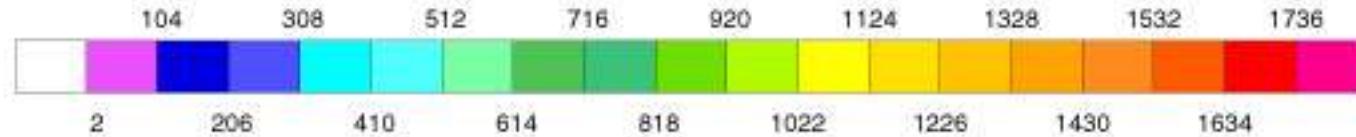
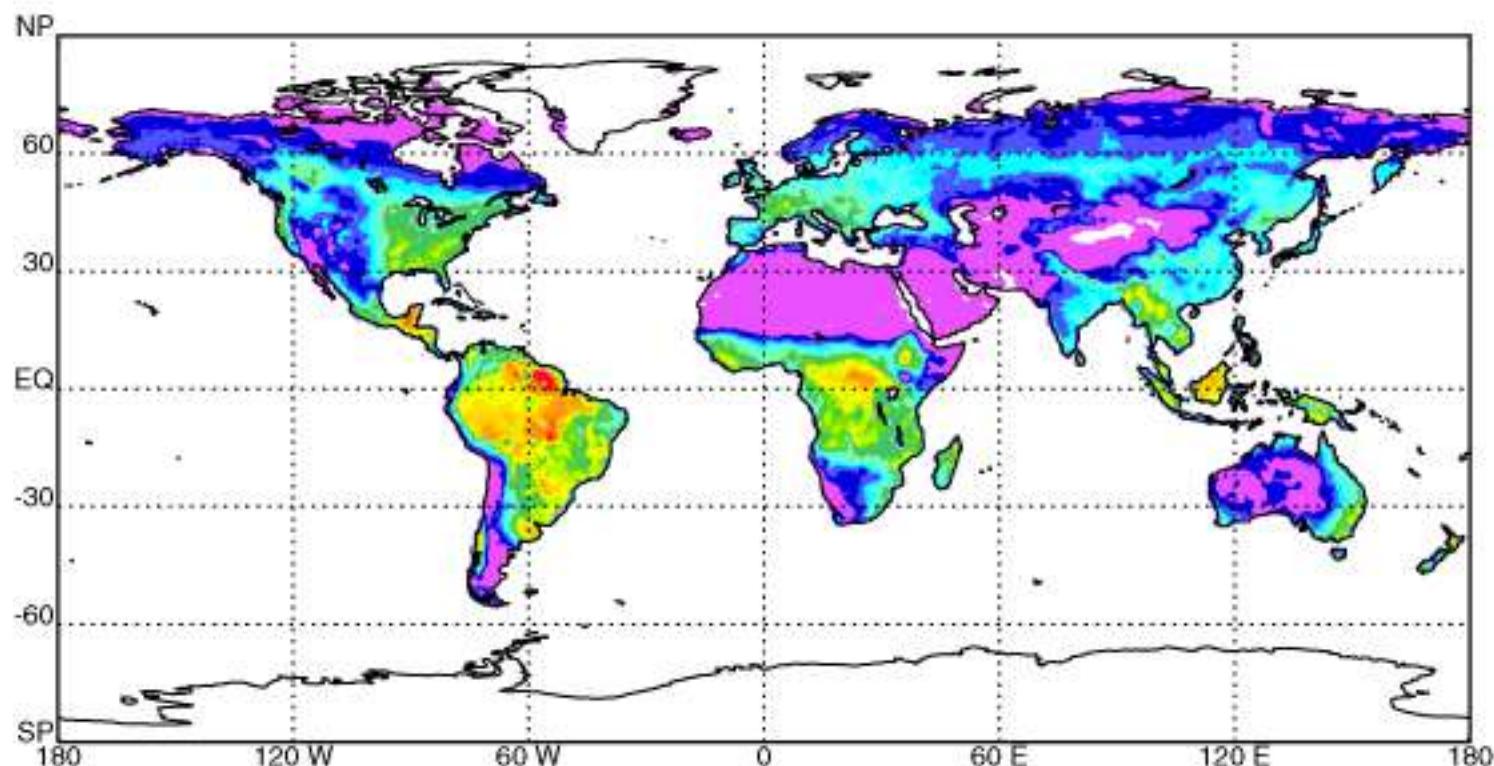
# Takahashi CO<sub>2</sub> flux

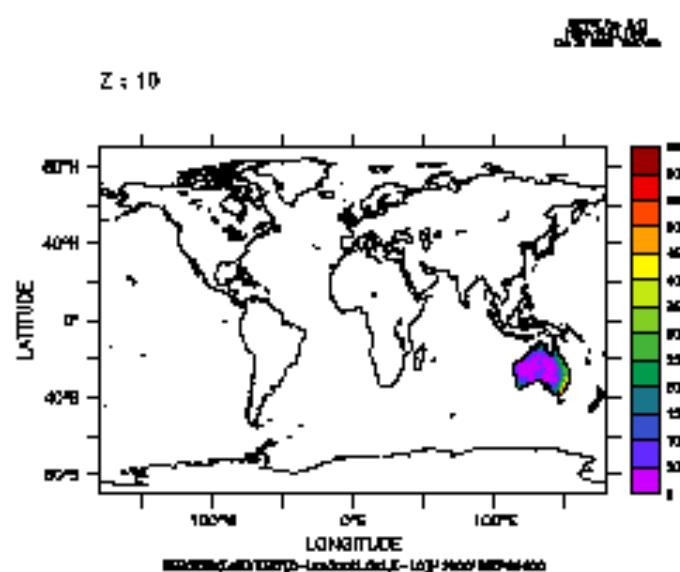
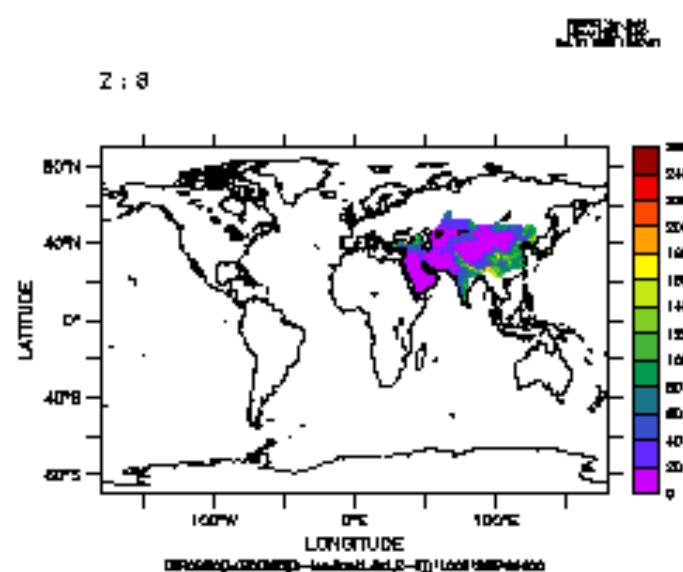
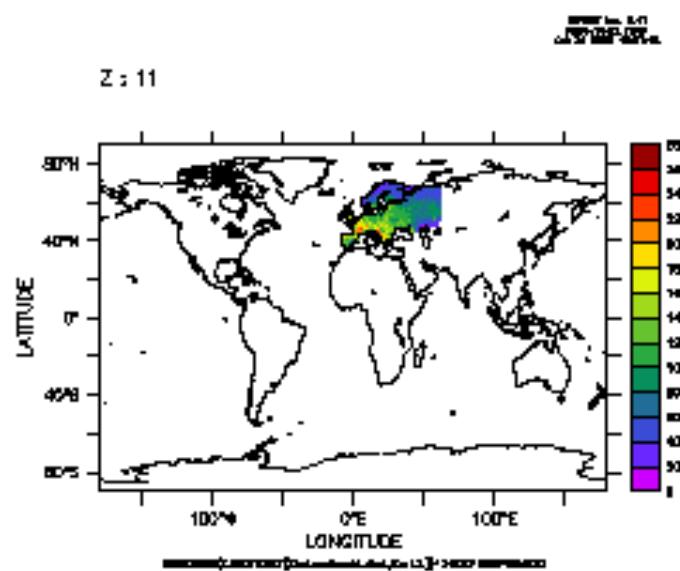
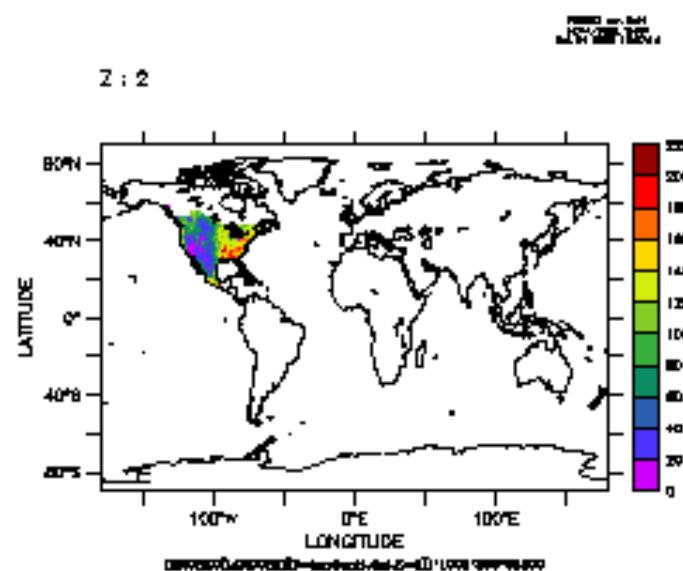
kg C/m<sup>2</sup>/second x 10<sup>-9</sup>



# CASA NPP

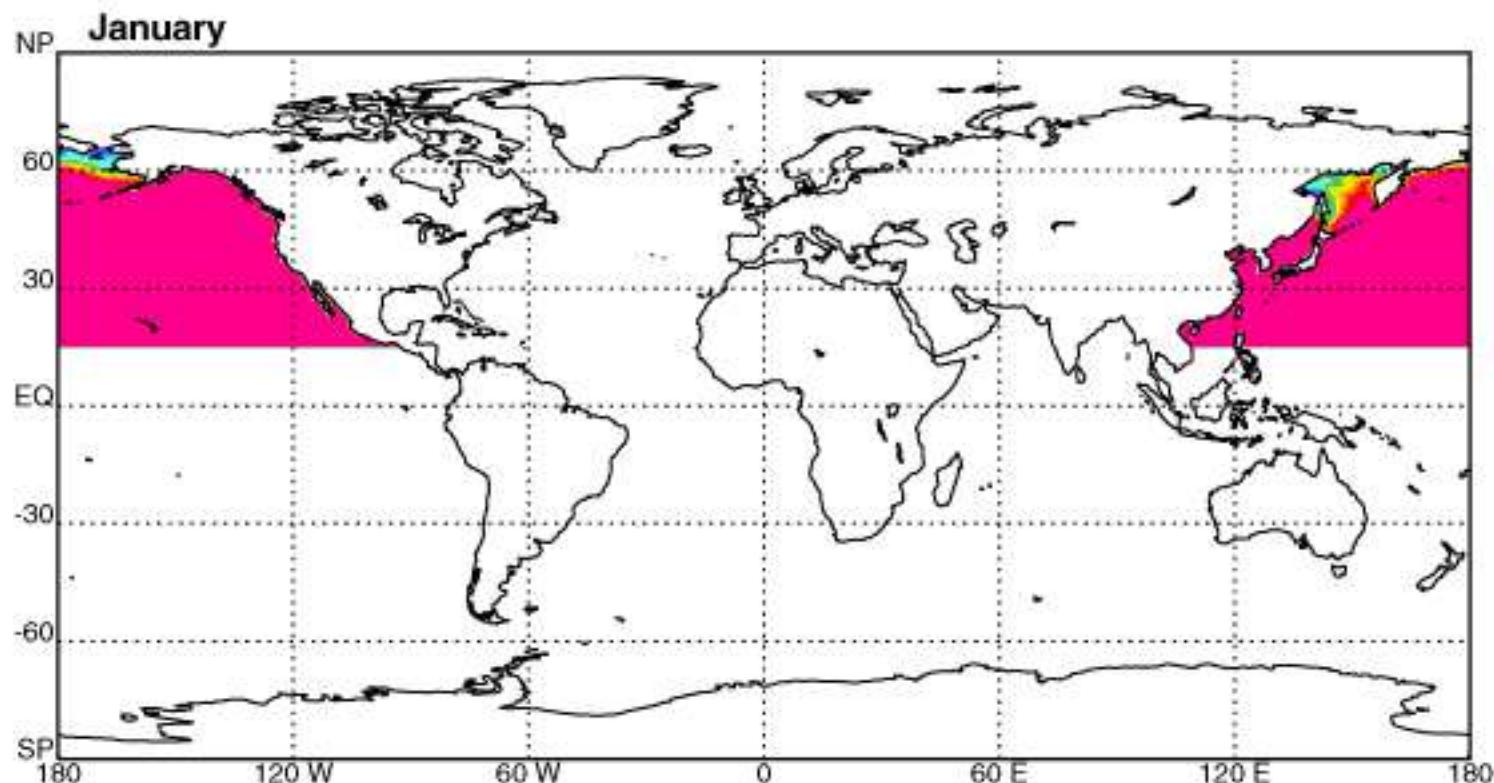
g C/m<sup>2</sup>/second

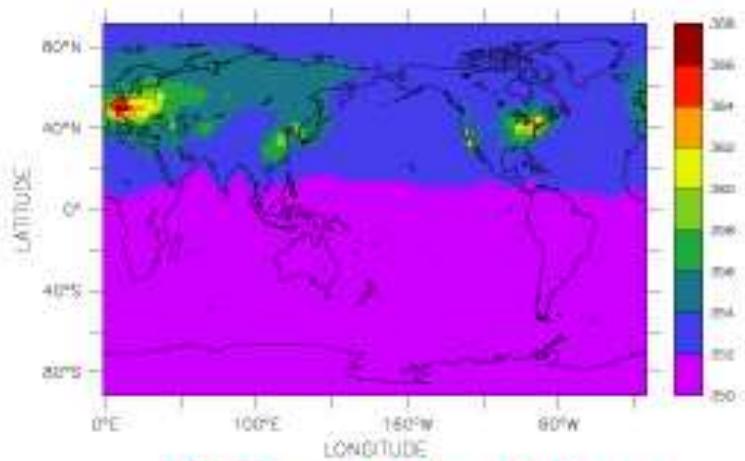




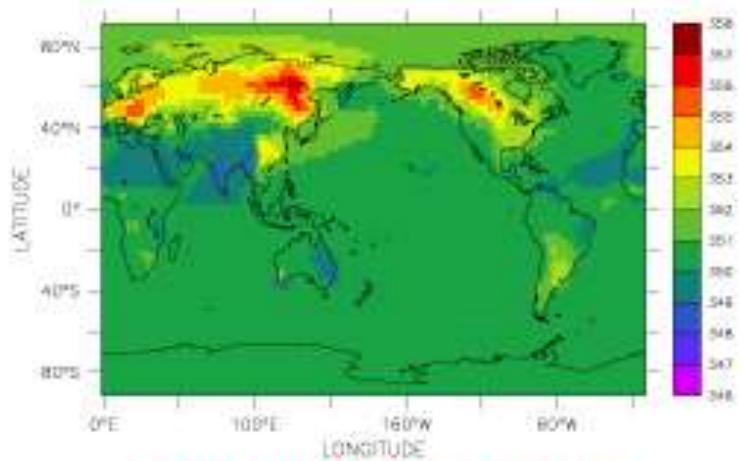
## North Pacific Temperate basis function

kg C/m<sup>{2}</sup>/second x 10<sup>{-10}</sup>

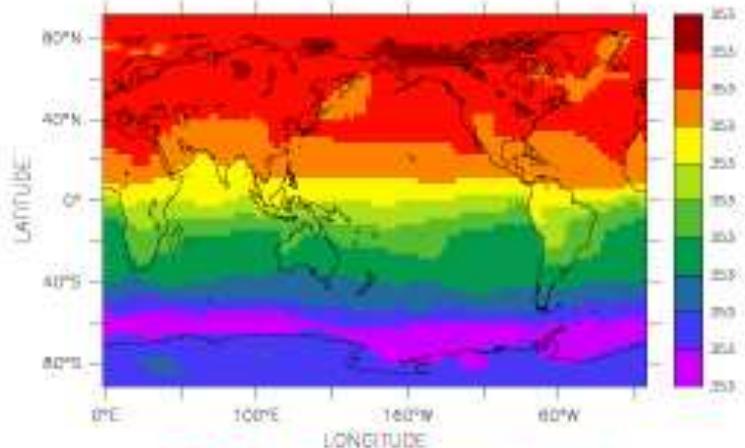




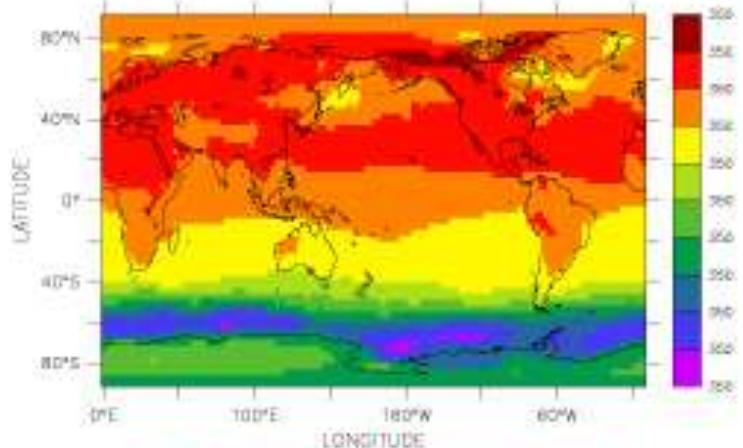
FF90 year of emission



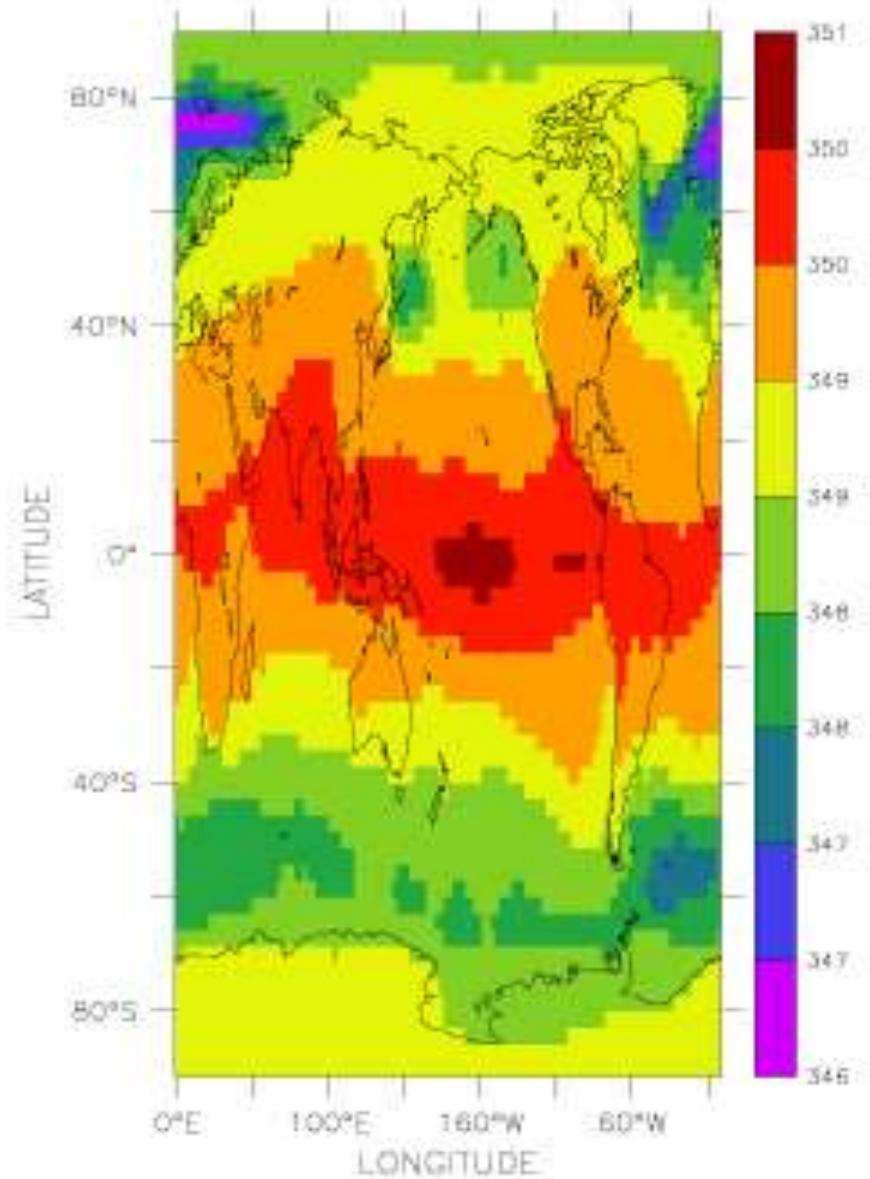
NEP year of emission



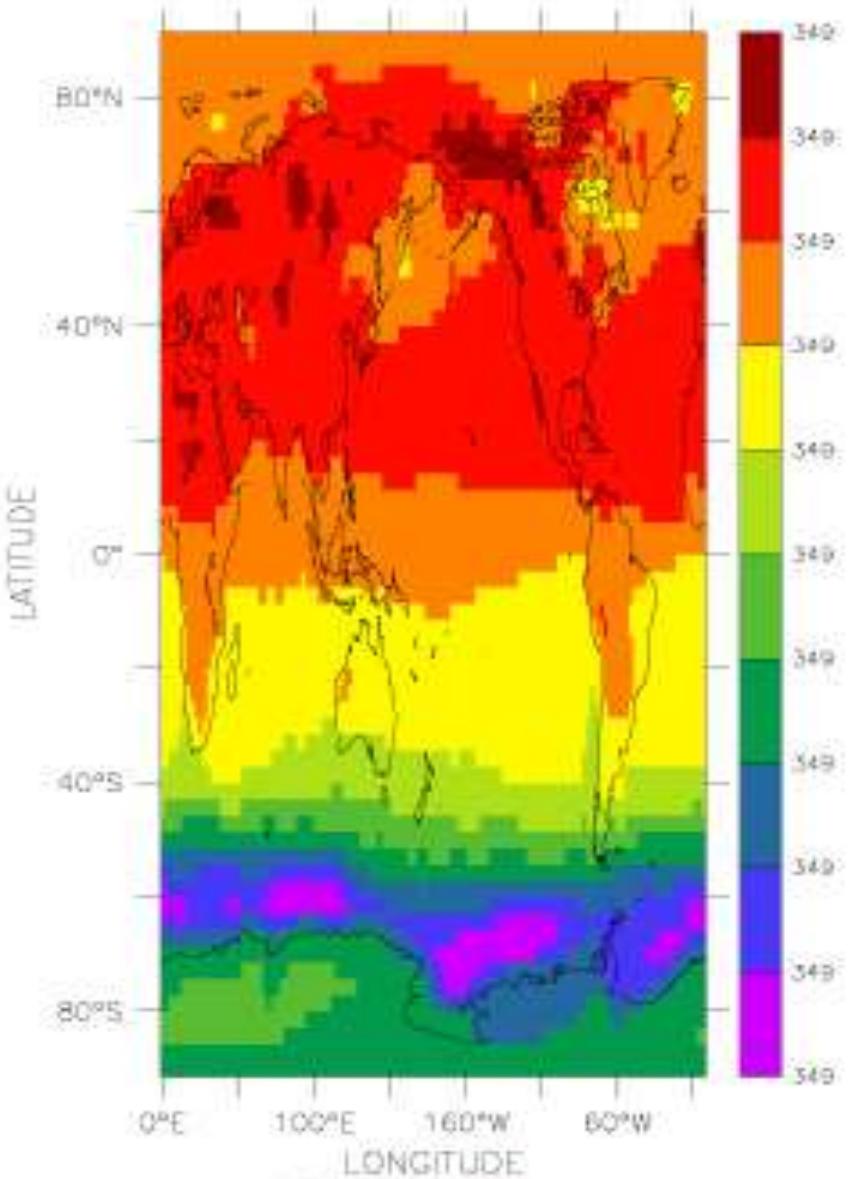
FF90 after three years



NEP after three years

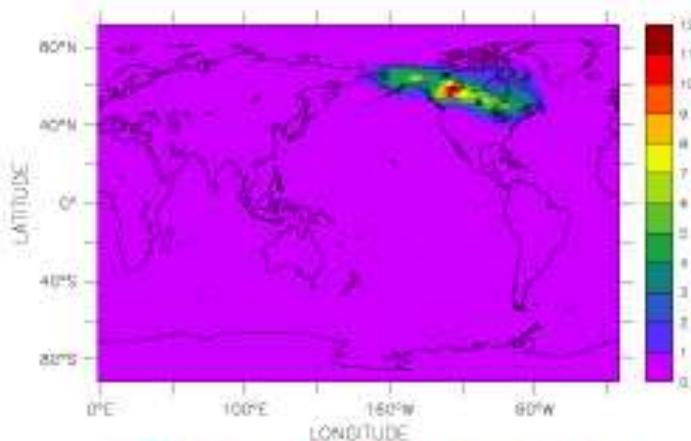


**Ocean Fluxes**  
year of emission

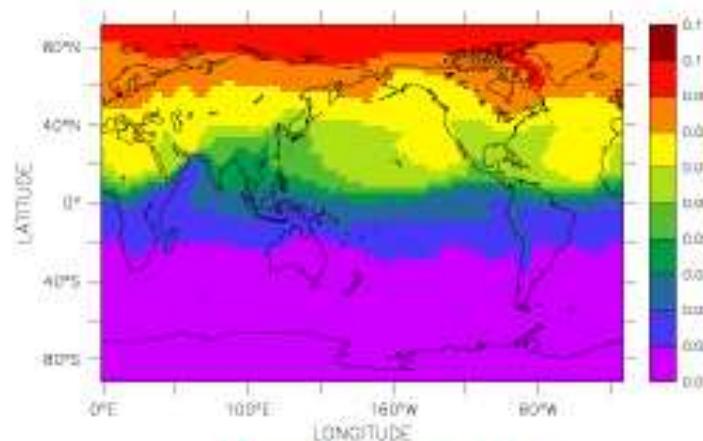


**Ocean Fluxes**  
after three years

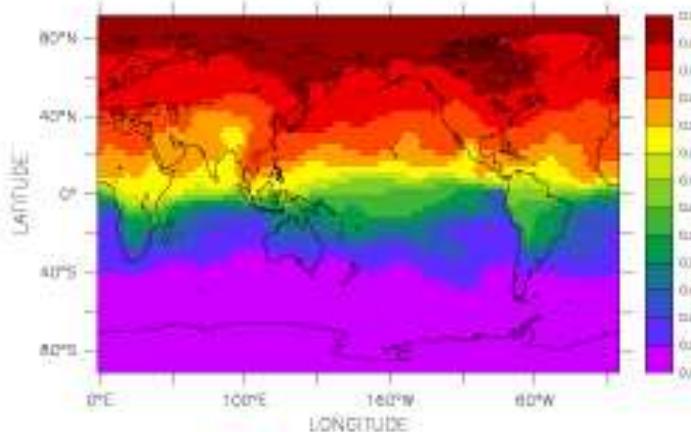
## Horizontal Mixing and Transport



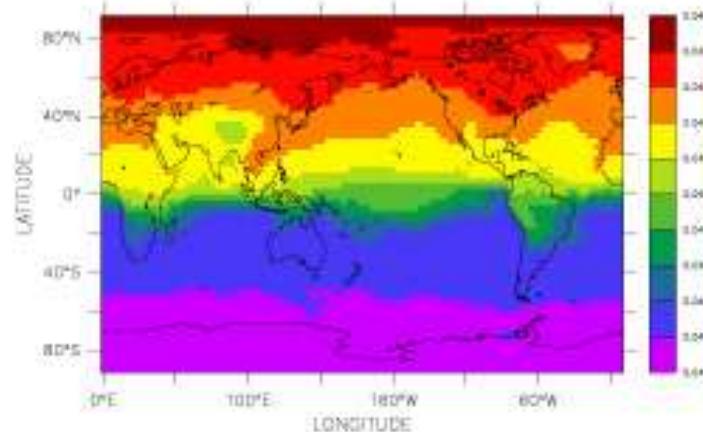
First month of emission



After Six months

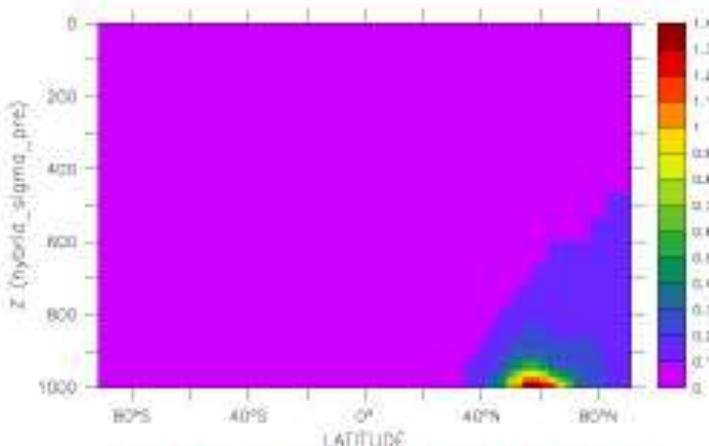


After one year

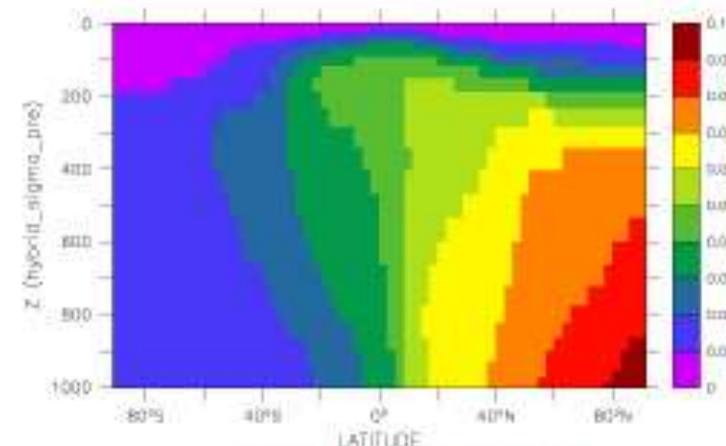


After three years

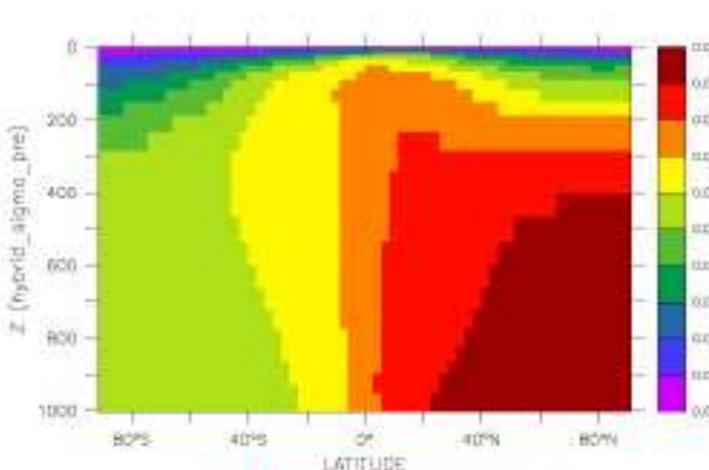
## Vertical mixing and transport over boreal North America



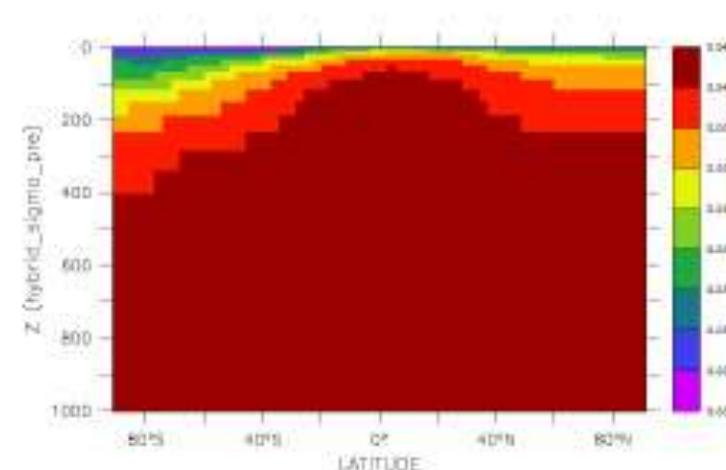
First month of emission



After six months

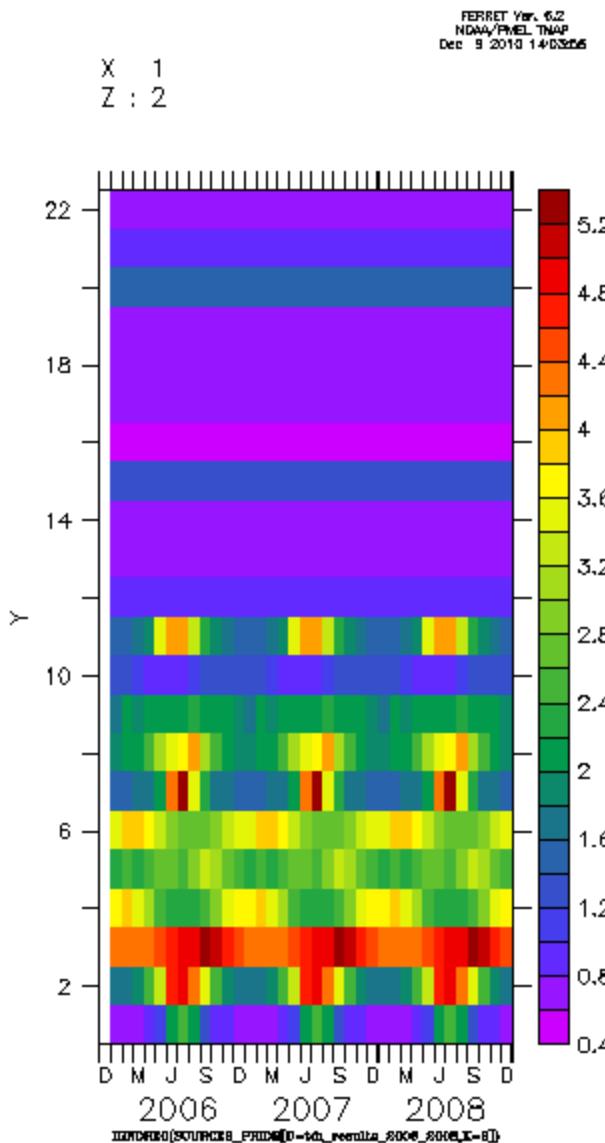
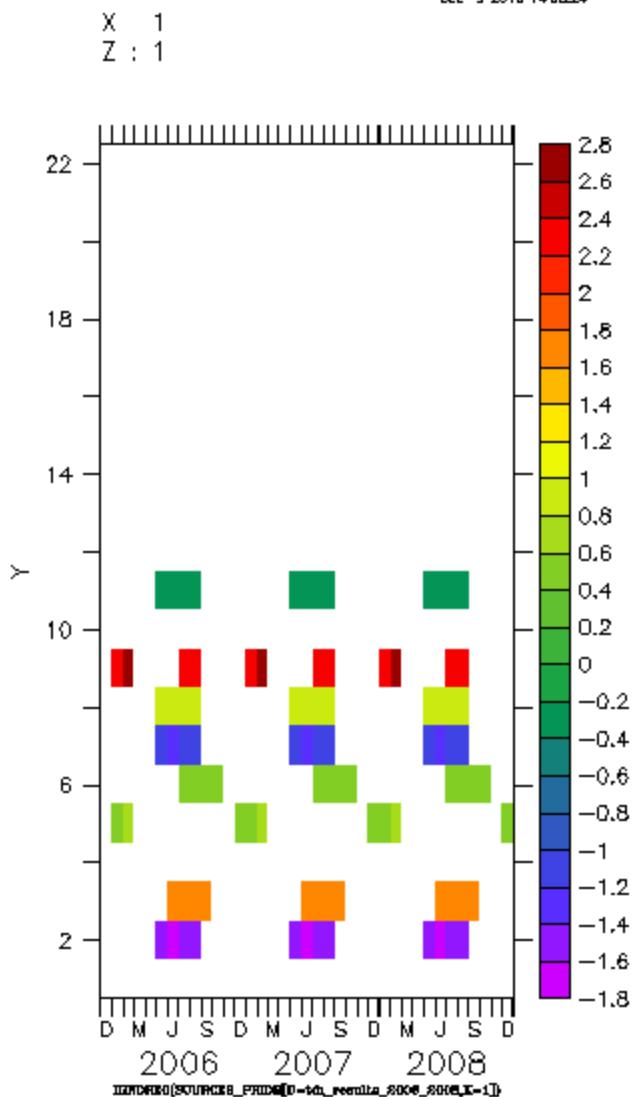


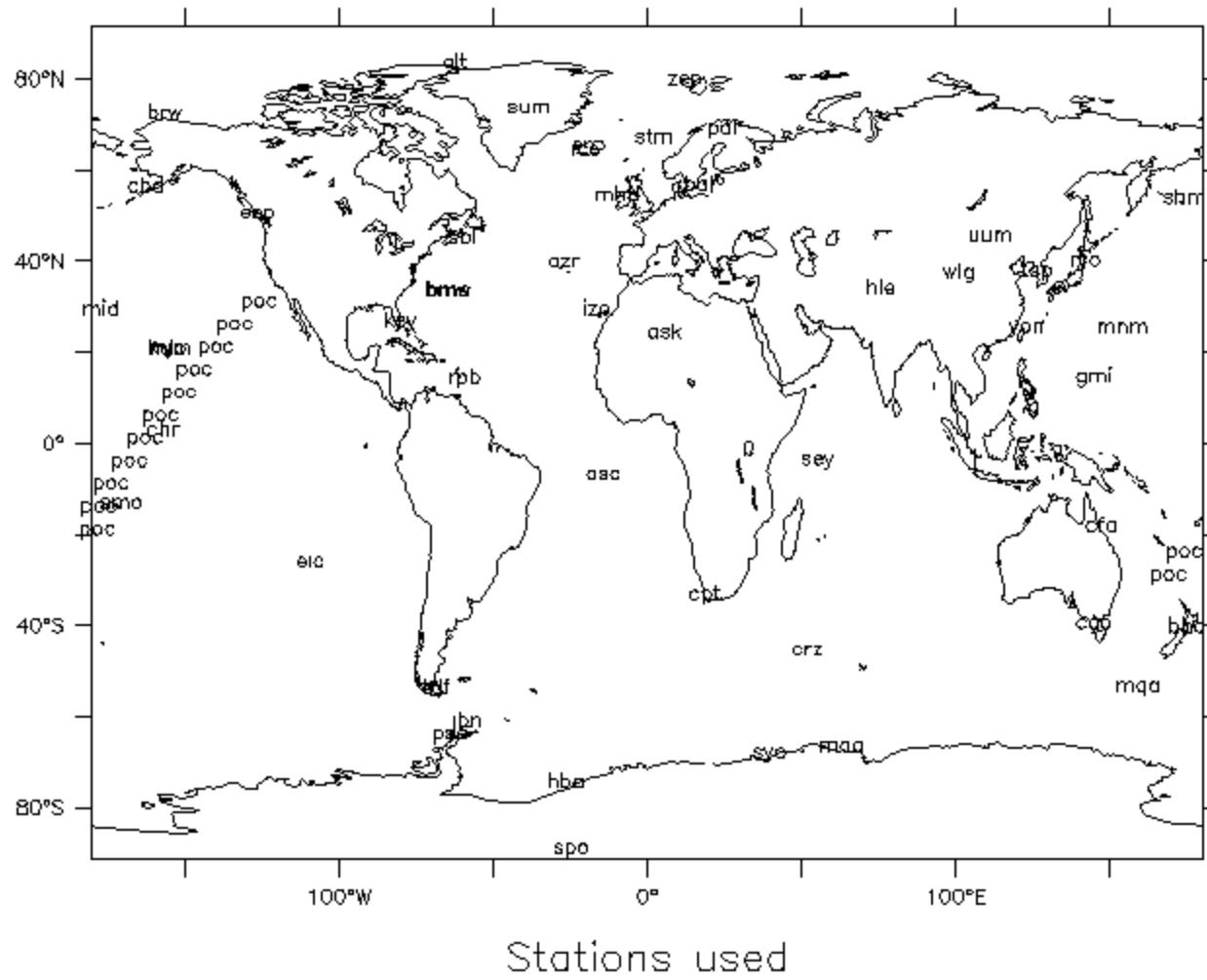
After one year



After three years

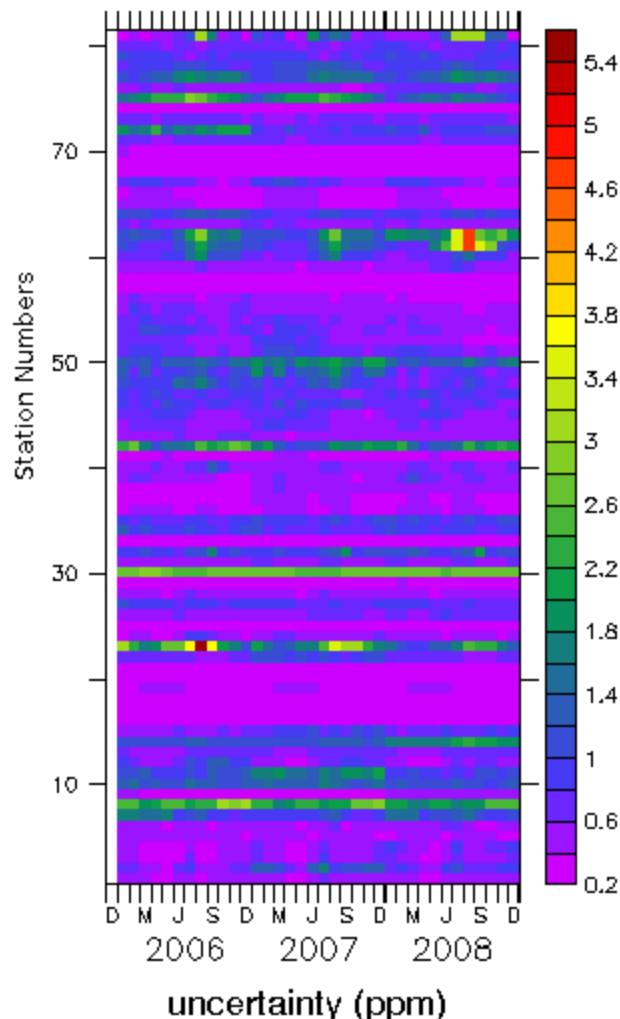
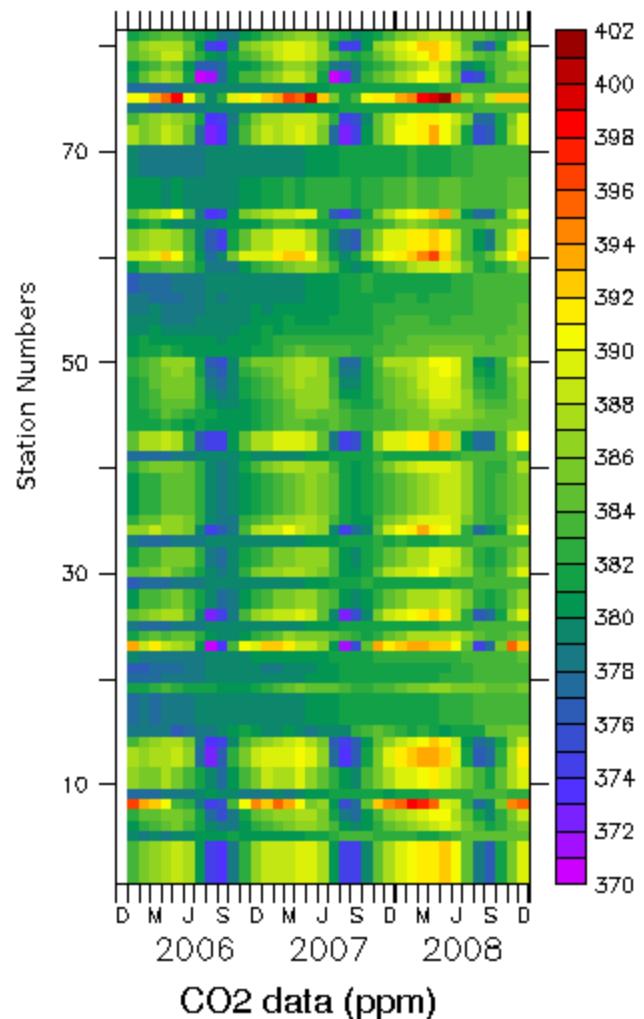
FERRET Ver. 6.2  
NOAA/PMEL TNAP  
Dec 9 2010 14:03:24

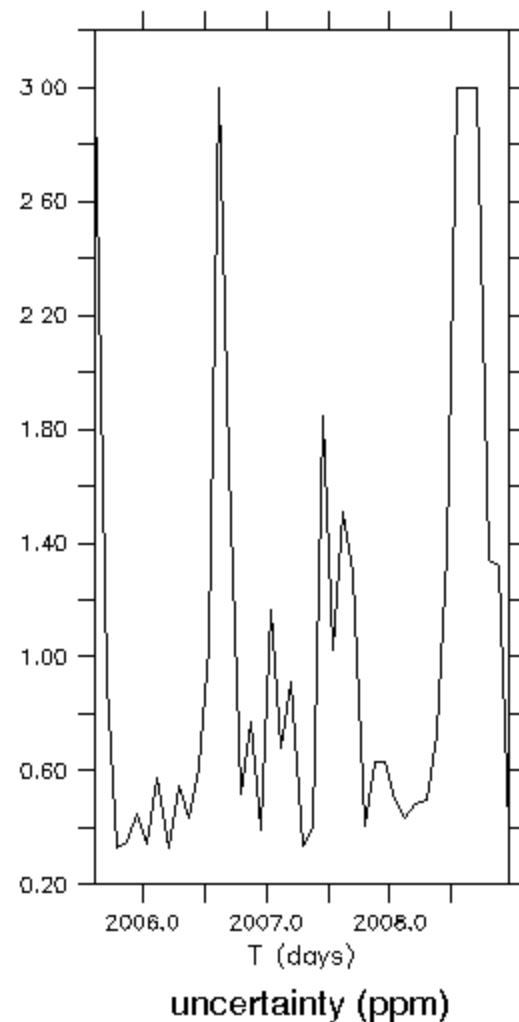
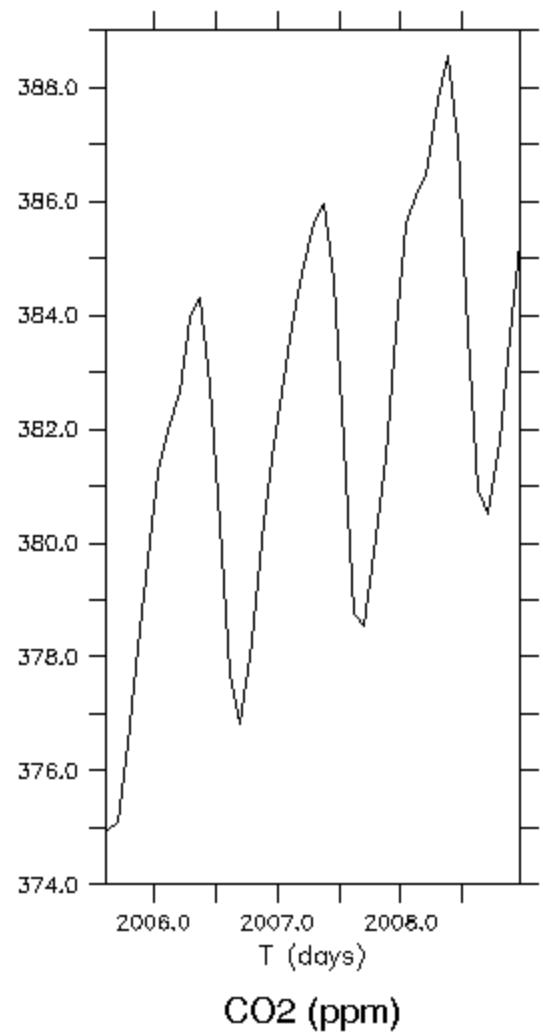


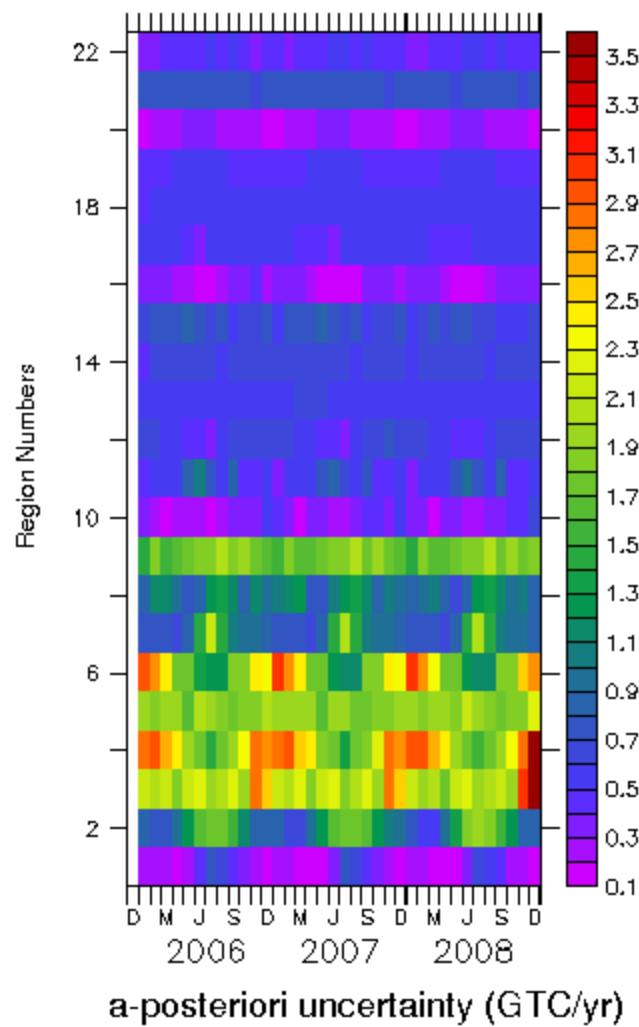
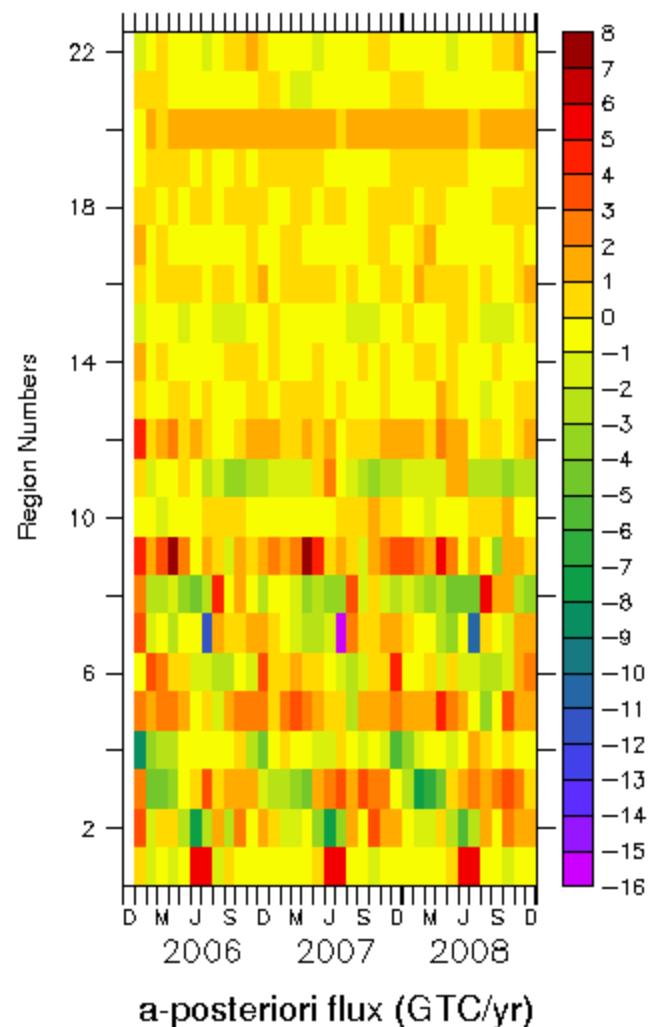


# Bayesian Inversion

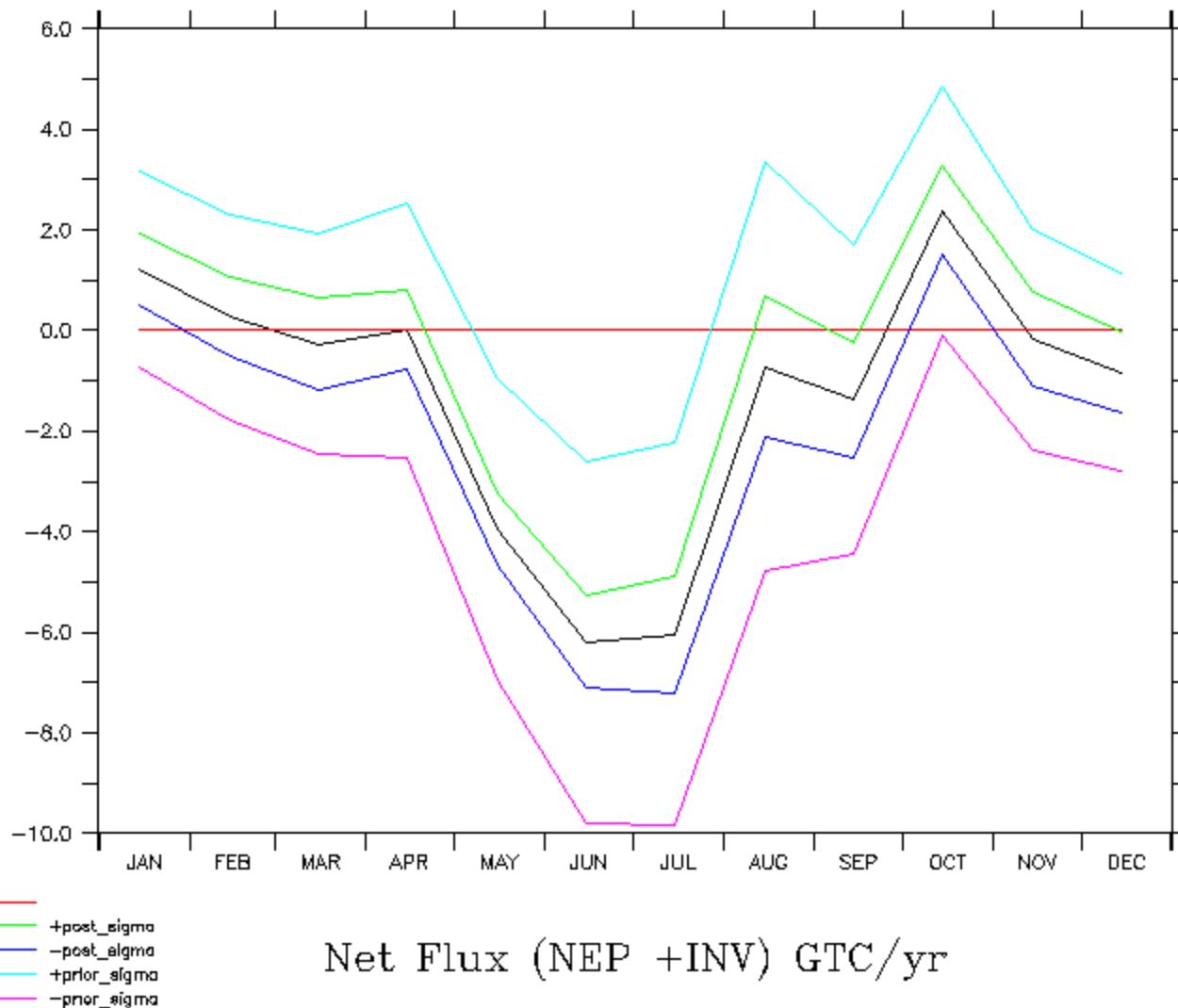
- $D = JS$
- $Z = (S - S_0)^T C(S_0)^{-1} (S - S_0) + (D - JS_0)^T C(D)^{-1} (D - JS_0)$
- $S = S_0 + (C(S_0)^{-1} + J^T C(D)^{-1} J)^{-1} (D - JS_0)$
- $C(S)^{-1} = C(S_0)^{-1} + J^T C(D)^{-1} J$







X : 1  
LATITUDE 90S to 90N  
Z : 8



No	Name	Prior	Post	Prior Unc	Post Unc	FF/O	Res	Total	Robust
1	Boreal N. America	0.000	0.401	1.199	0.319	0.04	0.929	0.401	Y
2	Temp. N America	-0.534	-0.681	2.890	1.190	1.92	0.831	-0.681	Y
3	Trop. N America	0.547	-0.137	4.633	2.198	0.23	0.775	-0.137	Y
4	South America	0.000	-1.321	3.024	2.312	0.14	0.415	-1.321	N
5	North Africa	0.152	1.314	2.667	1.902	0.16	0.491	1.314	N
6	Southern Africa	0.148	-0.122	3.215	2.005	0.11	0.610	-0.122	Y
7	Bor. Eurasia	-0.396	-1.161	2.404	1.045	0.21	0.810	-1.161	Y
8	Temp. Asia	0.297	-1.485	2.741	1.018	2.38	0.862	-1.485	Y
9	Trop. Asia	0.806	1.887	2.091	1.776	0.64	0.278	1.187	N
10	Australia	0.000	-0.058	1.109	0.333	0.11	0.910	-0.058	Y
11	Europe	-0.100	-1.518	2.411	0.603	1.92	0.94	-1.518	Y
12	North Pac.	0.000	1.003	0.960	0.583	-0.50	0.631	0.500	Y
13	Eq. W Pac.	0.000	-0.042	0.710	0.558	0.15	0.381	0.111	N
14	Eq. E Pac.	0.000	-0.050	0.750	0.631	0.46	0.291	0.417	N
15	South Pac.	0.000	-0.615	1.320	0.686	0.23	0.730	0.845	Y
16	Arctic Ocean	0.000	0.352	0.560	0.270	-0.44	0.770	-0.086	Y
17	N Atlantic	0.000	-0.076	0.640	0.521	-0.29	0.338	-0.368	N
18	Eq. Atlantic	0.000	0.036	0.640	0.557	0.13	0.24	0.165	N
19	S Atlantic	0.000	0.058	0.690	0.484	-0.13	0.508	-0.070	N
20	South Ocean	0.000	1.304	1.580	0.271	-0.90	0.971	0.417	Y
21	N Indian	0.000	-0.190	0.890	0.730	0.12	0.327	-0.072	N
22	S Indian	0.000	-0.205	0.740	0.456	-0.55	0.620	-0.759	Y
Total							FF=7.86	O = -2.19	

# Principles of Network Design

- Borrowed from geophysics

$$\mathbf{C}(\vec{S})^{-1} = \mathbf{C}(\vec{S}_0)^{-1} + \mathbf{J}^T \mathbf{C}(\vec{D})^{-1} \mathbf{J}$$

- Choose some property of  $\mathbf{C}(\vec{S})$  (posterior covariance)
- Manipulate  $\mathbf{J}$  e.g. choosing sampling locations
- Use nonlinear minimization to optimize

# Genetic Algorithms

- Genetic Algorithms
- Gene = List of values (Potential Stations)
- Algorithm maintains population of genes
- Genes breed, mutate and compete each generation
- “Generation” = iteration of algorithm
- Competition determined by scoring function
- Two choices

Trace of  $C(S)$  or  $C(S8)$

# Life-cycle of an iteration

- Cull population, leaving only best genes
- Refill population by cloning survivors
- Breed from existing population
- Mutate existing population

# Culling

- Rank genes by score and sort
- Assign a survival probability according to rank e.g.  $P(n) = n/N$
- For each gene, choose uniform random number  $x \in [0, 1]$  and eliminate gene if
- $P(n)$  is user-specified

# Refilling

- Choose gene at random
- Choose uniform random number  $x \in [0, 1]$
- If  $x < P(n)$  copy gene to gap left by culling

# Breeding

- Breeding probability  $P_B$  set by user
- Choose pairs of “parents” at random
- Choose uniform random  $x \in [0, 1]$
- If  $x < P_B$  create new gene with random combination from parents
- Children kill and replace parents

# Mutation

- Mutation probability  $P_M$  set by user
- For each value in each gene choose uniform random  $x \in [01]$
- If  $x < P_M$  replace by random value

# Summary of user inputs

- Population size
- Number of generations
- Survival probability
- Breeding probability
- Mutation probability
- Population and generations computational trade-off, others depend on problem
- Balancing converging too fast and never optimising

## Adapting GA to the network problem

- Convert  $i,j,k$  indices of T-42 grid into a unique number
- Construct gene of a given length by randomly selecting a set of stations.
- Construct the  $J$  matrix by combining these with existing Transcom  $G$  matrix.
- Construct covariance matrix for data
- Perform Transcom inversion for each gene.
- Compute score (either trace of whole matrix or subset)
- Perform GA operations and iterate.

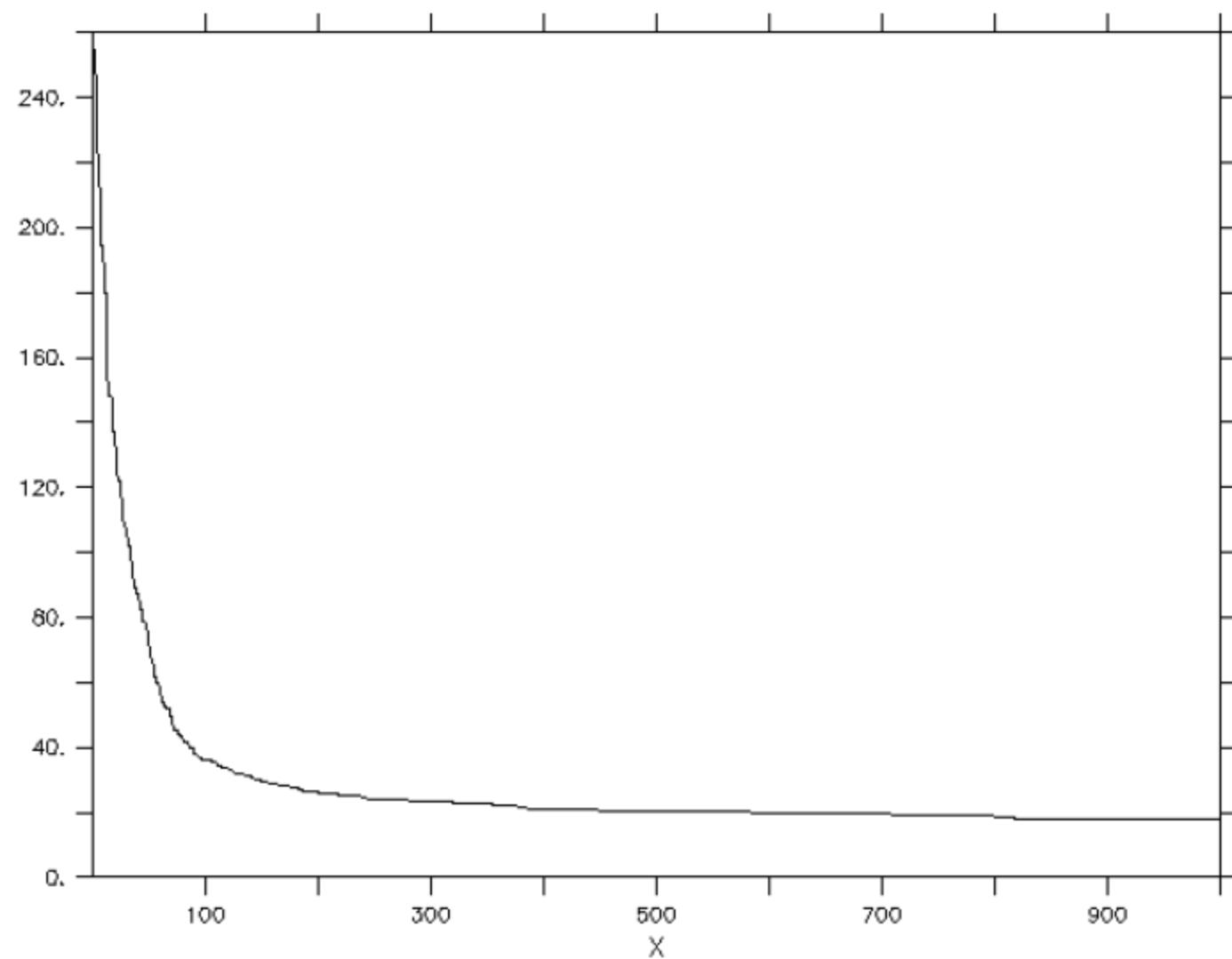
# Set-up details

- Green's functions calculated with Mozart transport model
- Population = 200, generations = 500 or 1000 (4 days on Altix)
- Test configurations of  $\mathbf{C}(\vec{S}_0)$  and  $\mathbf{C}(\vec{D})$ .
- Test additions to current network or “ground-up” design

# Testing algorithm

REFRET Ver. 5.41  
NOAA/PMEI/TMAP  
Feb 12 2008 1150241

DATA SET: network\_50stat\_modify\_cd\_nep.nc



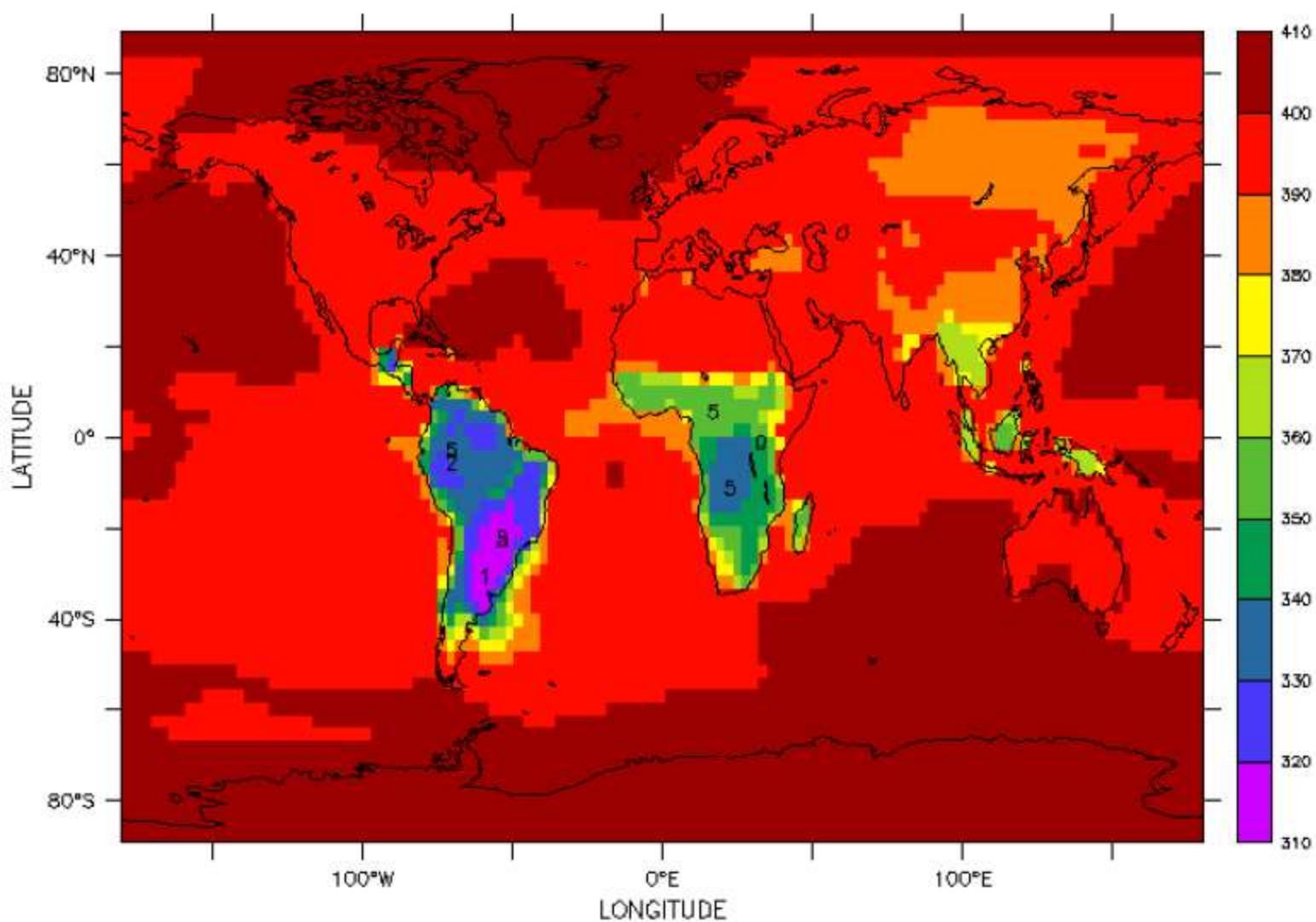
# Tests with Known answers

- Test finding known minimum
- Add one station to current network
- Test each gridpoint in turn
- Generates map of score
- Test if GA can find this minimum

# Map of score and GA attempt

FERRET Ver. 3.41  
NOAA/PWEL TRAP  
Feb 12 2008 12:27:02

DATA SET: inv\_sens.nc



## Test finding preferred stations

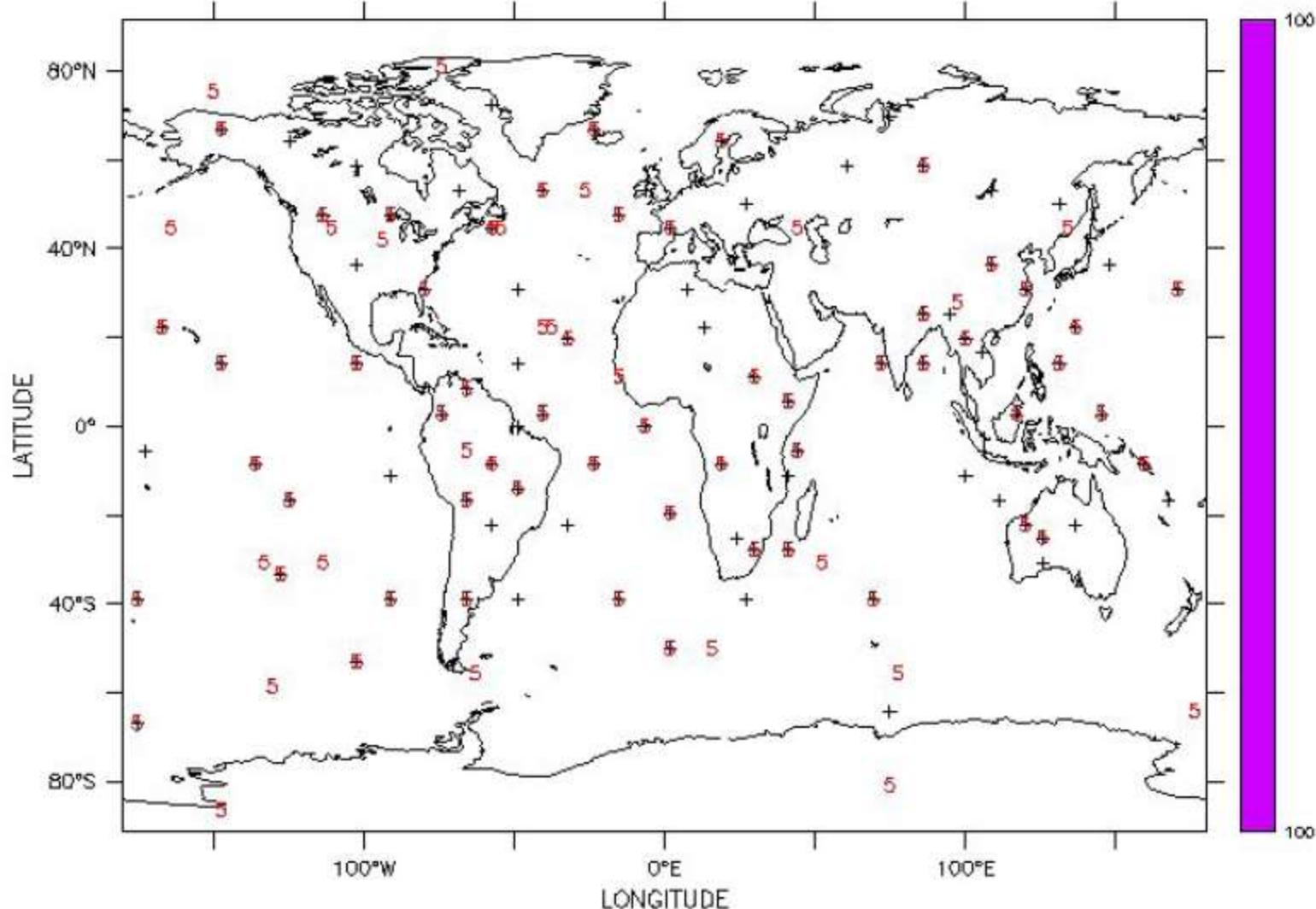
- Choose some stations with very much lower  $\mathbf{C}(\vec{D})$  than the rest
- GA should find these independent of  $\mathbf{J}$ .

# Test case with preferred stations

REFRET Ver. 3.41  
NOAA/PMEL TRAP  
Feb 12 2008 14:44:08

Z (hybrid\_sigma\_pre) : 995

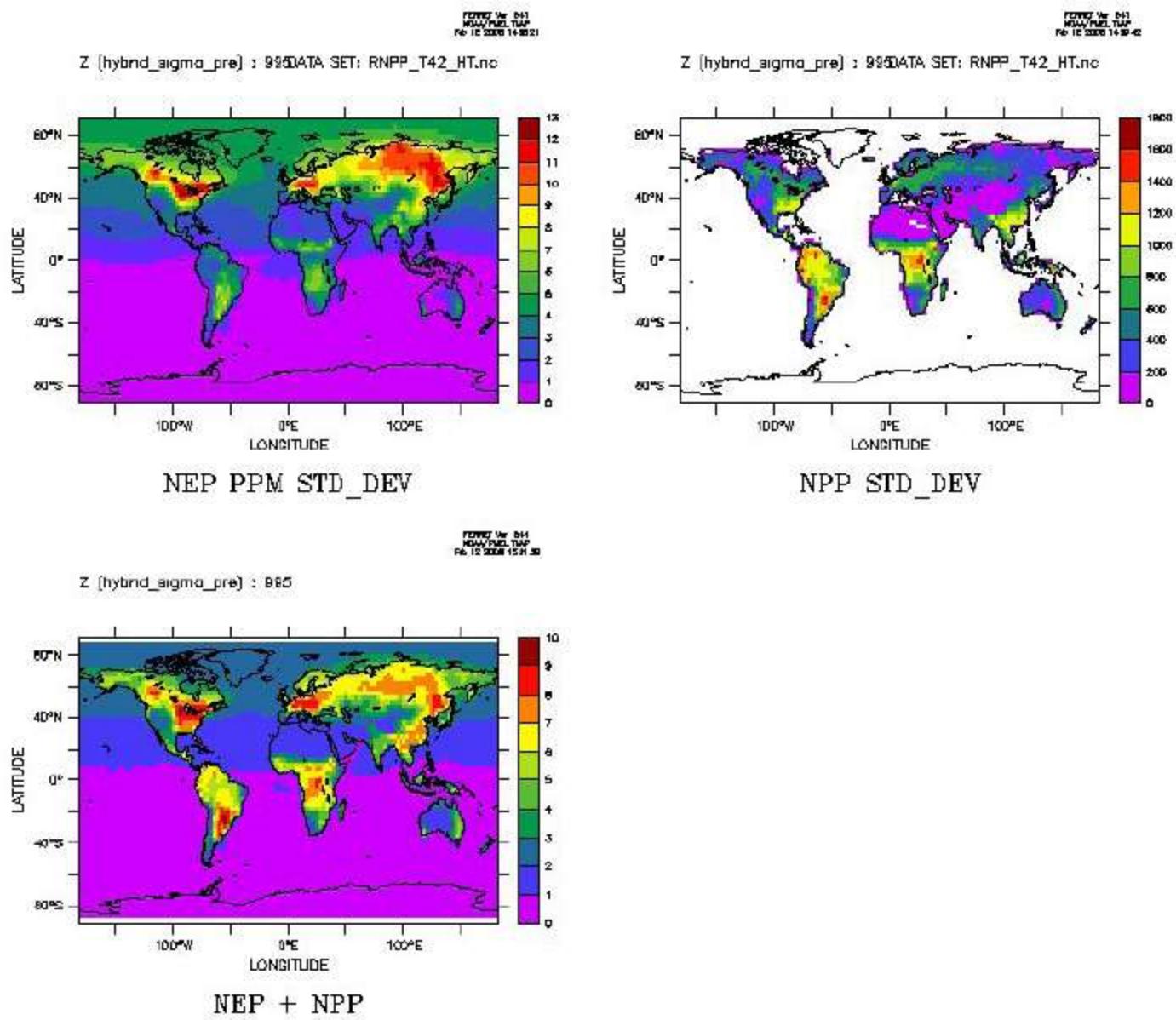
DATA SET: nep\_sd.nc



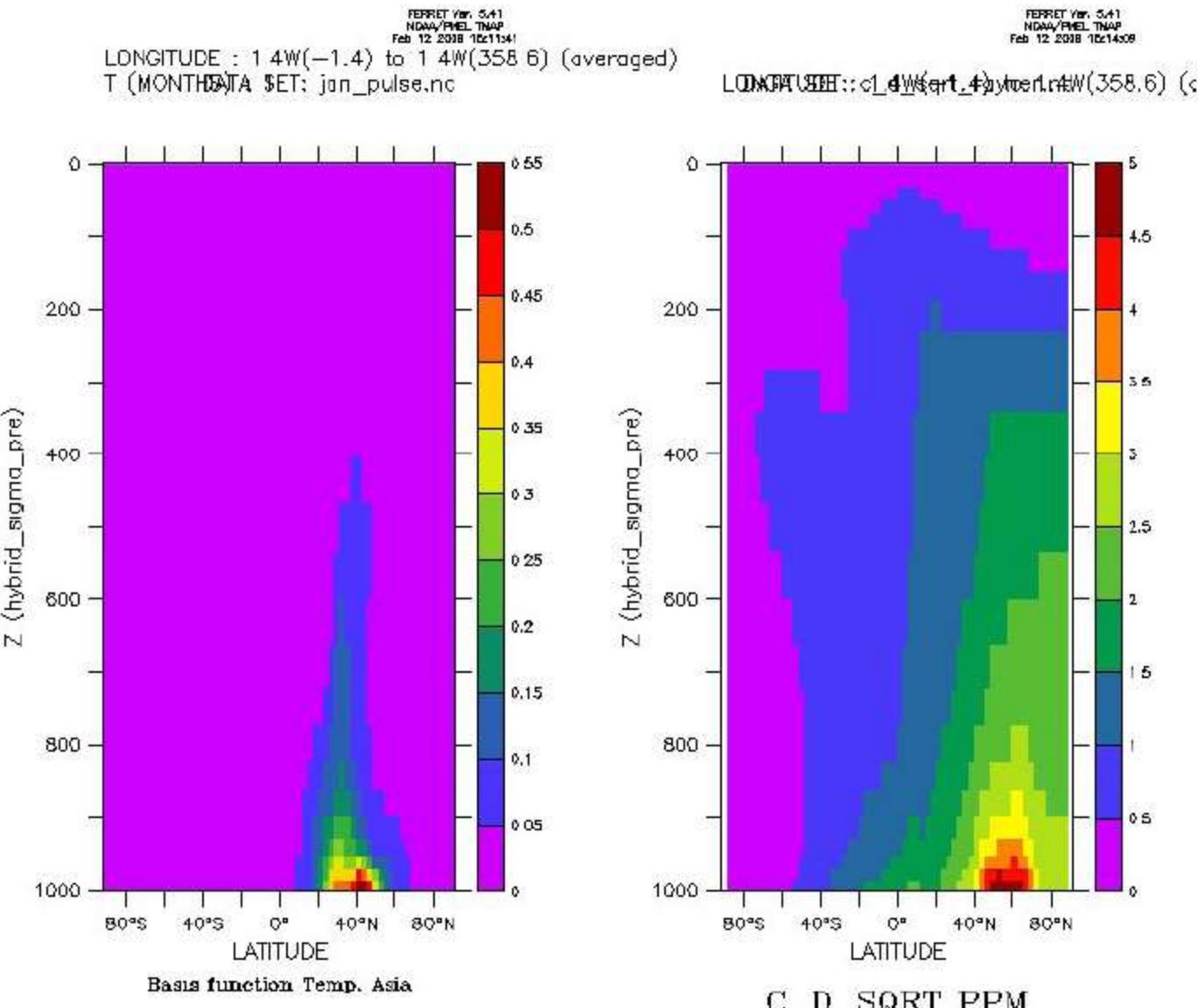
# Constructing $\mathbf{C}(\vec{D})$

- Usually don't have measurements so no strict algorithm
- CO<sub>2</sub> measurement stations should represent model grid cell
- Should not be too variable (signal-noise)
- Most local influence terrestrial biosphere + transport
- Combine these then calibrate against real stations

# Maps of $C(\vec{D})$



# Altitude dependence of $C(\vec{D})$

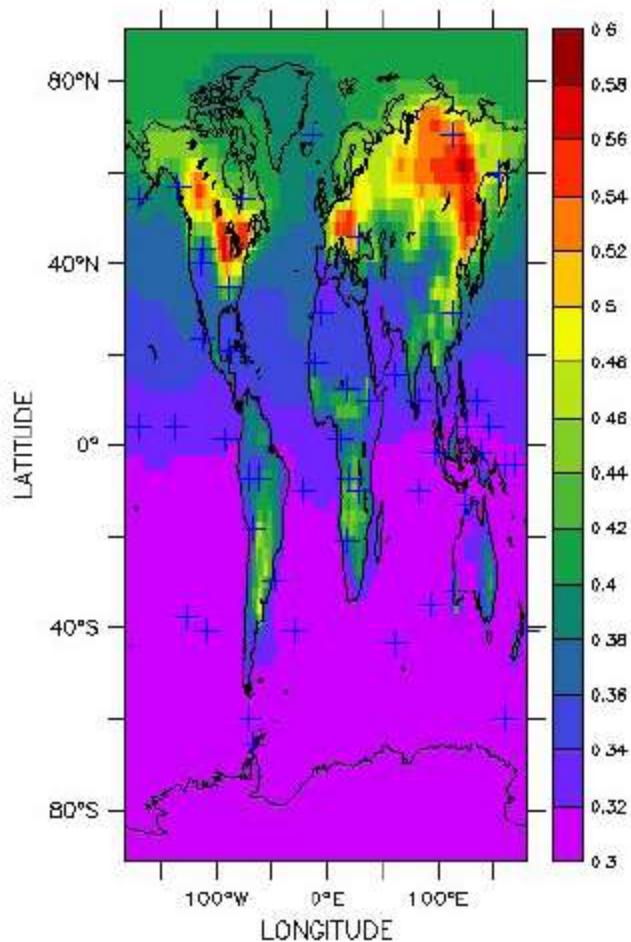


# Optimised Networks for global observation

FERRET Ver. 5.41  
NOAA/PNC/TMAP  
Feb 12 2018 16:11:30

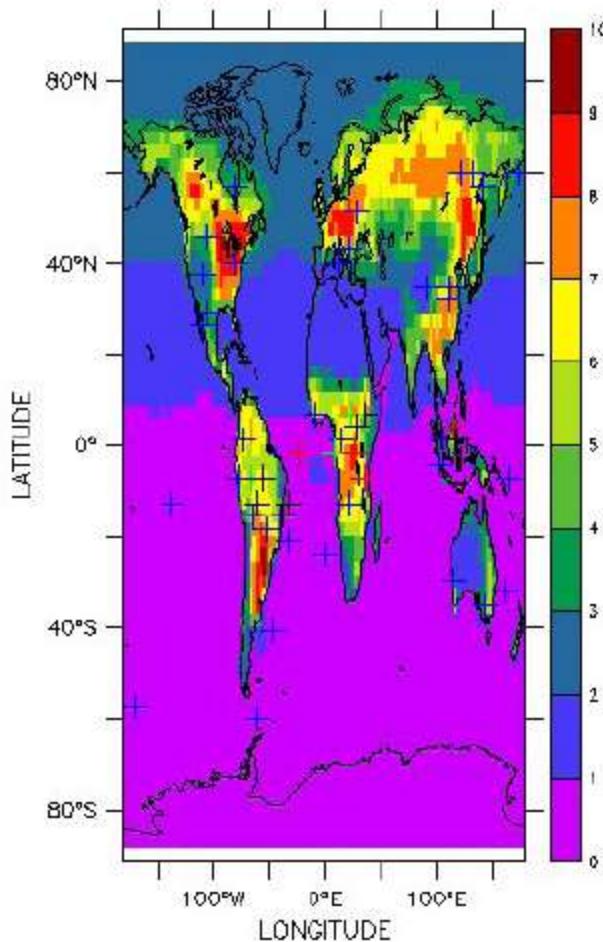
FERRET Ver. 5.41  
NOAA/PNC/TMAP  
Feb 12 2018 16:13:21

Z (hybrid\_sigma\_pre) : 995



Optimistic C\_D

Z (hybrid\_sigma\_pre) : 995

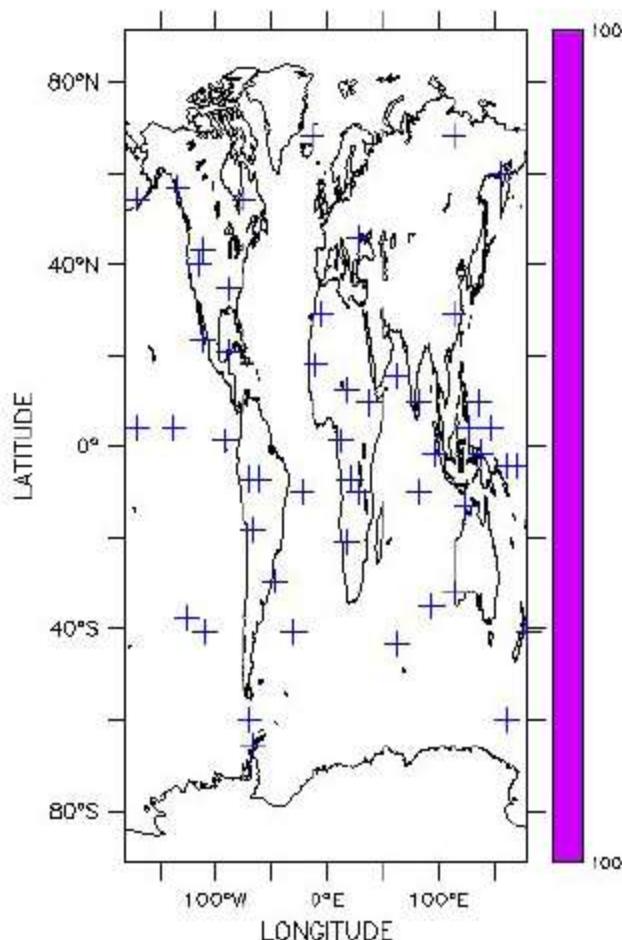


Pessimistic C\_D

# Optimised Networks for global observation

FERRET Ver. 5.41  
NOAA/PHEL/TMAP  
Feb 12 2018 10225808

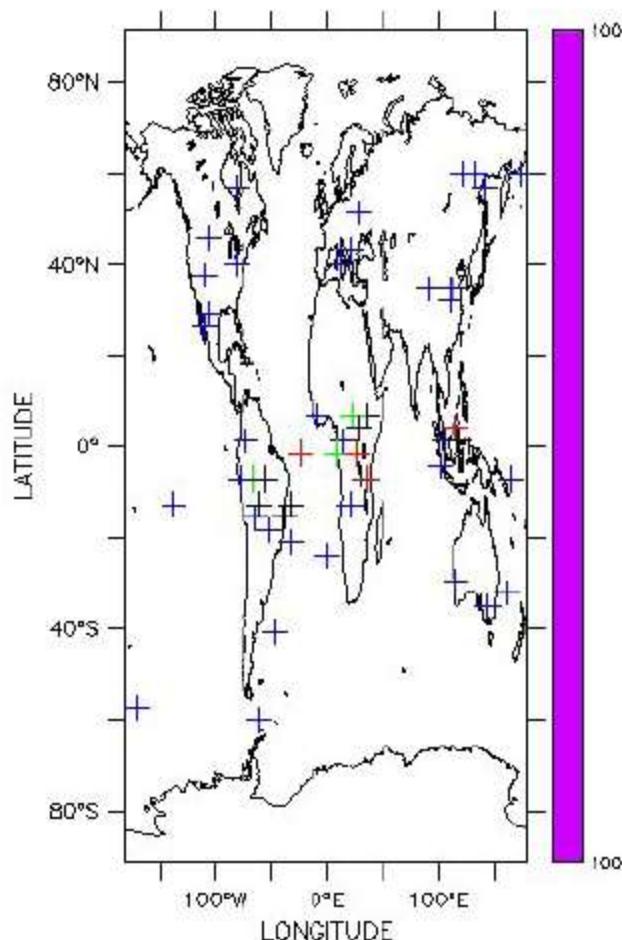
Z (hybrid\_sDgTnS6r)ne@95d.nc



Optimistic C\_D

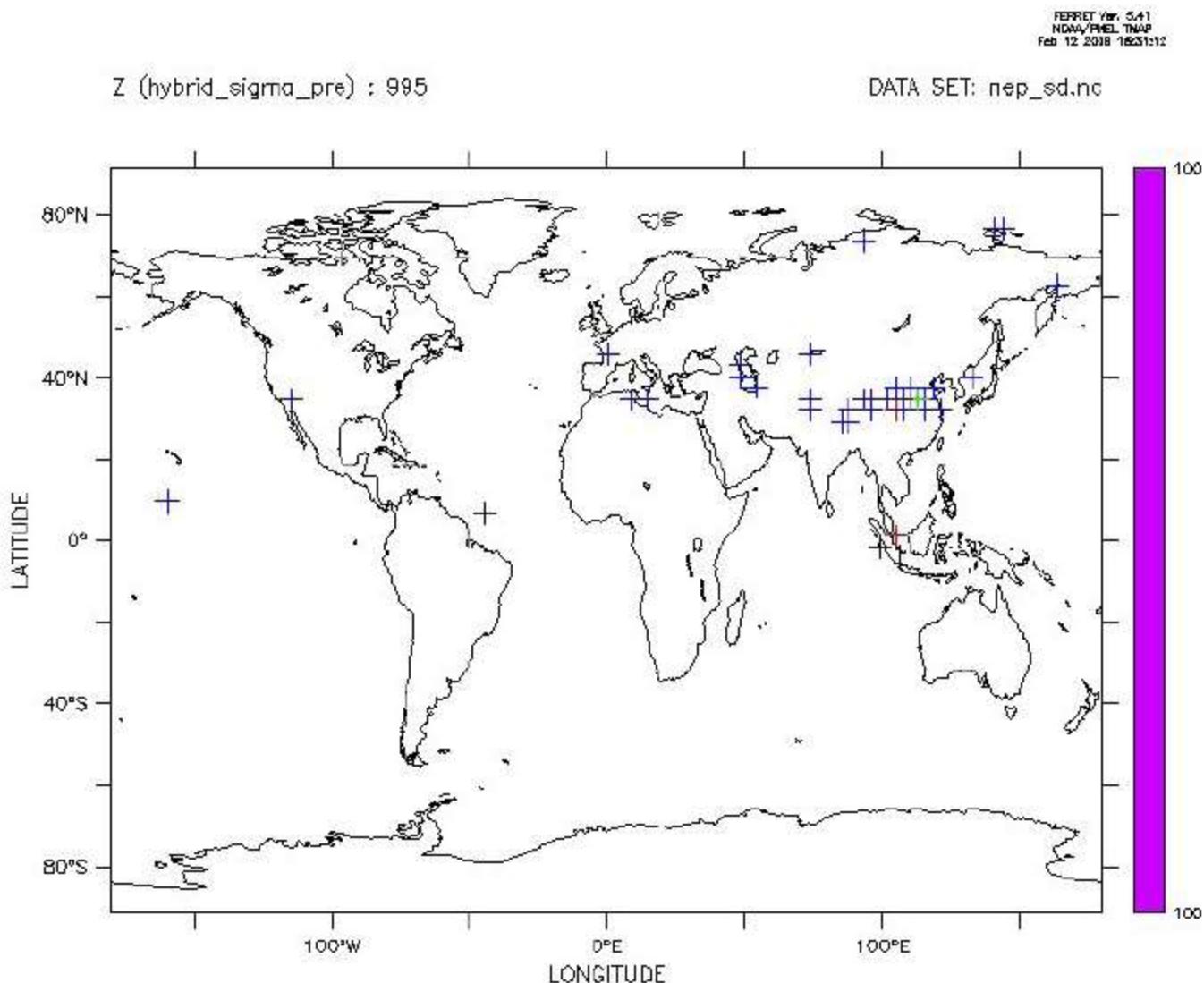
FERRET Ver. 5.41  
NOAA/PHEL/TMAP  
Feb 12 2018 10225843

Z (hybrid\_sDgTnS6r)ne@95d.nc



Pessimistic C\_D

# Optimised Networks for observation of South/Central Asia



Reg. 8 case

# Conclusions

- Inversions and genetic algorithms can be used in combination to design networks
- Various user inputs are crucial, e.g. choice of score and covariances
- Local noise suggests the use of airborne observations
- Targeting regional fluxes suggests dense regional network